



FINAL REPORT

Empirical Bayes Shrinkage Estimates of State Supplemental Nutrition Assistance Program Participation Rates in Fiscal Year 2011 to Fiscal Year 2013 for All Eligible People and the Working Poor

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EXECUTIVE SUMMARY

The Supplemental Nutrition Assistance Program (SNAP) is a central component of American policy to alleviate hunger and poverty. The program's main purpose is "to permit low-income households to obtain a more nutritious diet . . . by increasing their purchasing power" (Food and Nutrition Act of 2008). SNAP is the largest of the domestic food and nutrition assistance programs administered by the U.S. Department of Agriculture's Food and Nutrition Service. During fiscal year 2015, the program served nearly 46 million people in an average month at a total annual cost of almost \$70 billion in benefits.

This report presents estimates that, for each state, measure the need for SNAP and the program's effectiveness in each of the three fiscal years from 2011 to 2013. The estimated numbers of people eligible for SNAP measure the need for the program. The estimated SNAP participation rates measure, state by state, the program's performance in reaching its target population. In addition to the participation rates that pertain to all eligible people, we derived estimates of participation rates for the "working poor," that is, people who were eligible for SNAP and lived in households in which someone earned income from a job.

The estimates for all eligible people and for the working poor were derived jointly using empirical Bayes shrinkage estimation methods and data from the Current Population Survey, the American Community Survey, and administrative records. The shrinkage estimator that was used averaged sample estimates of participation rates in each state with predictions from a regression model. The predictions were based on observed indicators of socioeconomic conditions in the states, such as the percentage of the total state population receiving SNAP benefits. The shrinkage estimates derived are substantially more precise than direct sample estimates from the Current Population Survey or the Survey of Income and Program Participation, the best sources of current data on household incomes used to model program eligibility. Shrinkage estimators improve precision by "borrowing strength," that is, by using data for multiple years from all the states to derive each state's estimates for a given year and by using data from multiple sources, including sample surveys and administrative data. This report describes our shrinkage estimator in detail.

Final shrinkage estimates for FY 2011 and FY 2012 presented in this report differ slightly from the estimates presented in Cunnyngham (2015) and Cunnyngham et al. (2015) because of annual data updates. As a result, the estimates presented in this report should not be compared to those published in earlier reports.



I. INTRODUCTION

This report presents estimates of the Supplemental Nutrition Assistance Program (SNAP) participation rate and the number of people eligible for SNAP in each state for fiscal year (FY) 2011 to FY 2013. It also presents estimates of the participation rates for the working poor and the numbers of eligible working poor, where we define as "working poor" any person who was eligible for SNAP and lived in a household in which a member earned income from a job or self-employment. These estimates were derived using "shrinkage" estimation methods. This introductory chapter overviews the advantages and some previous applications of shrinkage estimation. Chapter II describes how we derived shrinkage estimates, and Chapter III presents our state estimates for all eligible people and for the working poor. Technical details and additional information about our estimation methods are provided in Appendix A.

The principal challenge in deriving state estimates like those presented in this report is that two leading national household surveys used for estimating program eligibility—the Current Population Survey Annual Social and Economic Supplement (CPS ASEC) and the Survey of Income and Program Participation (SIPP)—have small samples for most states. Another national household survey, the American Community Survey (ACS), is much larger than the CPS ASEC but has less detail on household relationships and income sources needed to estimate program eligibility. Additionally, unlike the CPS ASEC's fixed reference period, the ACS reference period varies by up to a year depending on when respondents complete the survey. For these reasons, we use the CPS ASEC to estimate SNAP eligibility. However, estimates calculated based only on the CPS ASEC sample for the state and time period in question, or "direct" estimates, are imprecise. For example, to calculate a direct estimate of West Virginia's FY 2013

¹ The estimates presented here are also reported and compared with one another in Cunnyngham (2016).

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SNAP participation rate, we use just FY 2013 data on households in the CPS ASEC from West Virginia. Because of the potential errors introduced by the CPS ASEC surveying only a small number of families in West Virginia rather than all families in the state, we can be confident—by a commonly used standard—only that West Virginia's SNAP participation rate in FY 2013 was between about 70 and 87 percent. This range is wide, although typical, reflecting our substantial uncertainty about what West Virginia's participation rate actually was.

To improve precision, statisticians have developed "indirect" estimators. These estimators "borrow strength" by using data from other states, time periods, or data sources. The assumption underlying indirect estimation is that what happened in other states and in other years is relevant to estimating what happened in a particular state in a particular year.

A generally superior indirect estimator is the "shrinkage" estimator. A shrinkage estimator averages estimates obtained from different methods. Fay and Herriott (1979) developed a shrinkage estimator that combined direct sample and regression estimates of per capita income for small places (population less than 1,000). Their estimates were used to allocate funds under the General Revenue Sharing Program. In another application of shrinkage methods, shrinkage estimates of poor school-aged children by state and county were used in allocating Title I compensatory education funds for disadvantaged youth (National Research Council 2000).

Shrinkage estimators have also been used to develop state estimates of income-eligible infants and children for allocating funds under the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) (Schirm 2000). To borrow strength across both states and time, the current WIC eligibles estimator uses several years of CPS data and combines direct sample estimates with predictions from a regression model. The predictions of WIC eligibles are based on, for example, state poverty rates according to tax return data and state percentages of

households headed by a female with related children and no husband present according to ACS three-year estimates. States with similar economic and demographic characteristics, as reflected in these poverty rate and household composition statistics, are observed (and predicted) to have similar proportions of infants and children eligible for WIC.

In these and other applications of shrinkage estimation, the gain in precision from borrowing strength via a shrinkage estimator can be substantial. For example, the confidence intervals for the shrinkage estimates of WIC eligibles in 1992 were, on average, 61 percent narrower than the

corresponding confidence intervals for the direct estimates (Schirm 1995). To obtain that same gain in precision with a direct estimator would require—according to rough calculations—more than a six-fold increase in sample size. Therefore, we use a shrinkage estimator to derive state estimates of SNAP participation rates and counts of all eligible people and the eligible working poor (while recognizing that the gain in precision might not be the same as for the 1992 WIC estimates).

Our shrinkage estimator first used data for all the states, all three years, and both groups (all eligible people and the working poor) to estimate a regression model and formulate a prediction for each state. In formulating

U.S. Census Bureau Data

The Current Population Survey (CPS) is conducted monthly by the U.S. Census Bureau for the Bureau of Labor Statistics, and is the primary source of current information on the labor force characteristics of the U.S. population. The CPS Annual Social and Economic Supplement (ASEC) includes additional data on work experience, income, and noncash benefits, and has a sample size of close to 100,000 households.

The American Community Survey (ACS) is conducted monthly by the U.S. Census Bureau in every county, American Indian and Alaska Native Area, Hawaiian Home Land, and Puerto Rico. Designed to replace the decennial census long-form, it collects economic, social, demographic, and housing information on about three million households annually.

Population Estimates are published each year by the U.S. Census Bureau's Population Division. The estimates are developed using decennial census population estimates and administrative records and other data on births, deaths, net domestic migration, and net international migration.

More information on these data sources is available at http://www.census.gov.

regression predictions, the estimator borrowed strength by using data from outside the main sample survey (the CPS ASEC), specifically, data from administrative records systems, the ACS, and government population estimates. The shrinkage estimator next optimally averaged direct sample and regression estimates for each state to obtain shrinkage estimates. This contrasts with the direct estimator that ignores systematic patterns across states, using, for example, only West Virginia's data to derive an estimate for West Virginia, even though conditions may be similar in New Jersey or Virginia.

In all, our estimator used three years of CPS ASEC data, ACS data, SNAP administrative data, population estimates, and tax return data for all states to obtain estimates for each state in each year for all eligible people and for the working poor.

The shrinkage estimates derived for any one application are not guaranteed to be more accurate than estimates obtained using some other method. They have good statistical properties in general, however, and we have found for our specific application that as in previous applications, shrinkage estimation can greatly improve precision. Additional support for shrinkage estimators is provided by the findings from simulation studies. For example, in a comprehensive evaluation of the relative accuracy of alternative estimators of state poverty rates, Schirm (1994) found that shrinkage estimates are substantially more accurate than direct estimates or indirect estimates obtained from other methods that have been widely used.

II. A STEP-BY-STEP GUIDE TO DERIVING STATE ESTIMATES

This chapter describes our procedure for estimating state SNAP participation rates for all eligible people and the working poor and the numbers of people eligible for SNAP benefits for FY 2011 to FY 2013. This procedure, summarized by the flow chart in Figure II.1, has the following four steps:

- 1. From CPS ASEC data and SNAP administrative data, derive direct sample estimates of state SNAP participation rates for each of the three years.
- 2. Using a regression model, predict state SNAP participation rates based on administrative and ACS data.
- 3. Using a shrinkage estimator, average the direct sample estimates and regression predictions to obtain preliminary shrinkage estimates of state SNAP participation rates.
- 4. Adjust the preliminary shrinkage estimates to obtain final shrinkage estimates of state SNAP participation rates.

Each step is described in the remainder of this chapter. Additional technical details are provided in Appendix A.

ACS and **SNAP** State CPS ASEC administrative administrative population data data estimates data 1. Direct sample estimates of state 2. Regression predictions of state participation rates for three years participation rates for three years 3. Preliminary shrinkage estimates of rates National totals for three years (obtained by averaging) of eligible people

 Final shrinkage estimates of numbers eligible and participation rates for three years (obtained by adjusting preliminary estimates)

Figure II.1. The estimation procedure

A. From CPS ASEC data and SNAP administrative data, derive direct sample estimates of state SNAP participation rates for each of the three fiscal years 2011 to 2013

A SNAP participation rate is obtained by dividing an estimate of the number of people participating in SNAP by an estimate of the number of people eligible for SNAP, with the resulting ratio expressed as a percentage. We used SNAP administrative data to estimate numbers of participants in an average month in the fiscal year and we used CPS ASEC data to estimate numbers of eligible people in an average month. Because the CPS ASEC collects family income data for the prior calendar year, we obtained estimates of eligible people in FY 2013 (October 2012 through September 2013), for example, from the 2013 and 2014 CPS ASEC. To derive a participation rate for the working poor, we divided the number of working poor participants by the number of working poor people who were eligible.

As noted in Chapter I, direct sample estimates of participation rates are relatively imprecise, especially when sample sizes are small. The standard errors for the estimates, reported in Appendix A along with the estimated rates, tend to be large, so our uncertainty about states' true rates is great. For example, according to commonly used statistical standards, we can be confident only that West Virginia's participation rate for all eligible people in FY 2013 was between 70 percent and 87 percent. This range is so wide and our uncertainty so great because the CPS ASEC sample for West Virginia is small. This lack of data, that is, the small number of sample observations that pertain directly to the target geographic area and time period—West Virginia and FY 2013 in our example—is the fundamental problem of "small area estimation."

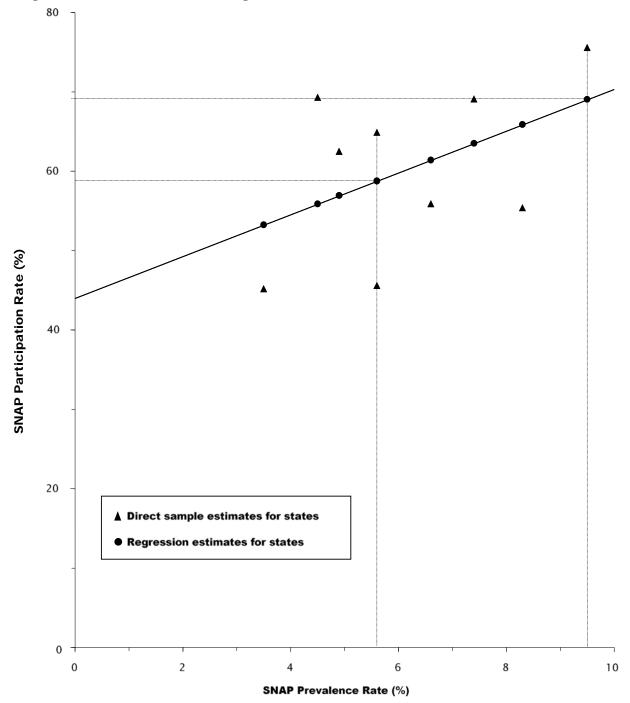
B. Using a regression model, predict state SNAP participation rates based on administrative and ACS data

Regression estimates are predictions based either on nonsample or on highly precise sample data, such as the ACS and administrative records data. The latter include records from government tax and transfer programs.

Figure II.2 illustrates how the regression estimator works. The simple example in the figure has only nine states and data for just one year on one predictor—the SNAP "prevalence" rate that will be used to predict each state's SNAP participation rate for eligible people. The SNAP prevalence rate is measured by the percentage of all people (eligible and ineligible combined) who received SNAP benefits, in contrast to the SNAP participation rate, which is measured by the percentage of eligible people who received SNAP benefits. The triangles in the figure correspond to direct sample estimates; a triangle shows the prevalence rate in a state (read off the horizontal axis) and the sample estimate of the participation rate in that state (read off the vertical axis). Not surprisingly, the graph suggests that prevalence and participation rates are systematically associated. States with higher percentages of all people participating in the program tend to have higher percentages of eligible people participating, although the relationship is far from perfect. To measure this relationship between prevalence and participation rates and derive predictions, we can use a technique called "least squares regression" to draw a line through the triangles (that is, we "regress" the sample estimates on the predictor). Regression estimates of participation rates are points on that line, the circles in Figure II.2. The predicted participation rate for a particular state is obtained by moving up or down from the state's direct sample estimate (the triangle) to the regression line (where there is a circle) and reading the value off the vertical axis. For example, the regression estimator predicts a participation rate of just under 60 percent for both states with prevalence rates of about 5.5

percent. In contrast, for the state with about 9.5 percent of people receiving SNAP benefits, the predicted participation rate is nearly 70 percent.

Figure II.2. An illustrative regression estimator



To derive the regression estimates for FY 2011 to FY 2013 and for all eligible people and the working poor, we included all of the states, not just nine as in our illustrative example, and we used seven predictors, not just one. Including six additional predictors improves our predictions. The seven predictors used for the estimates in this report measure:

- the percentage of the population correctly receiving SNAP benefits under regular program rules according to administrative data and population estimates
- the percentage of children under age 18 with household income under 50 percent of the federal poverty level according ACS one-year estimates
- the percentage of occupied housing units that are owner-occupied according to ACS oneyear estimates
- the percentage of civilian employed individuals age 16 and older who were employed in the private sector according to ACS one-year estimates
- the percentage of civilian employed individuals age 16 and older who were in service occupations according to ACS one-year estimates
- the percentage of individuals age 65 and older not claimed on tax returns or claimed on tax returns with adjusted gross income under the federal poverty level according to individual income tax data and population estimates
- the percentage of children age 5 to 17 approved to receive free lunches under the National School Lunch Program according to administrative data and population estimates

These seven predictors were selected as the best from a longer list described in Table A.13, which provides complete definitions and sources for the predictors. The first four predictors listed above were included in last year's regression model (Cunnyngham et al. 2015), and the last predictor listed above was included in the regression model used two years ago. Other predictors used in last year's regression model were: (1) the median adjusted gross income according to individual income tax data; (2) the percentage of individuals age 25 and older who have completed a bachelor's degree according to ACS one-year estimates; and (3) the percentage of households with a female householder, no husband present, and related children under age 18 according to ACS one-year estimates.

Appendix A presents the regression estimates and their standard errors. The standard errors tend to be fairly equal across the states and much smaller than the largest standard errors for direct sample estimates, reflecting substantial gains in precision from regression for the states with the most error-prone direct sample estimates.

Comparing how the direct sample and regression estimators use data reveals how the regression estimator "borrows strength" to improve precision. When we derived direct sample estimates in Step 1, we used only one year's CPS ASEC sample data from West Virginia to estimate West Virginia's participation rate in that year, even though West Virginia, like nearly all states, has a small CPS ASEC sample. Deriving regression estimates in this step, we estimated a regression line from sample, administrative, and ACS data for multiple years and all the states and used the estimated line (with administrative and ACS data for West Virginia) to predict West Virginia's participation rate in a given year. In other words, the regression estimator not only uses the sample estimates from every state for multiple years to develop a regression estimate for a single state in a single year but also incorporates data from outside the sample, namely, data in administrative records systems and the ACS. To improve precision even further, the estimator borrows strength across groups—all eligible people and the working poor—by deriving estimates for the groups jointly.

The regression estimator can improve precision by using more data. It uses that additional data to identify states with direct sample estimates that seem too high or too low because of sampling error, that is, error from drawing a sample—a subset of the population—that has a higher or lower participation rate than the entire state population has. For example, suppose a state has a low SNAP prevalence rate and values for other predictors that are consistent with a low SNAP participation rate. Then, our regression estimator would predict a low participation

rate for that state, implying that a direct sample estimate showing a high rate is too high. The regression estimate will be lower than the direct sample estimate for such a state. On the other hand, if the sample data for a state show a much lower participation rate than expected in light of the SNAP prevalence rate and the other predictors, the regression estimate for that state will be higher than the sample estimate.

A limitation of the regression estimator is "bias." Some states really have higher or lower participation rates than we expect (and predict with the regression estimator) based on the SNAP prevalence rate and other predictors used. Such errors in regression estimates reflect bias.

Although the regression estimator borrows strength, using data from all the states and multiple years as well as administrative and ACS data, it makes no further use of the sample data after estimating the regression line. It treats the entire difference between the sample and regression estimates as sampling error, that is, error in the direct sample estimate. No allowance is made for prediction error, that is, error in the regression estimate. Although not all, if any, true state participation rates lie on the regression line, the assumption underlying the regression estimator is that they do.

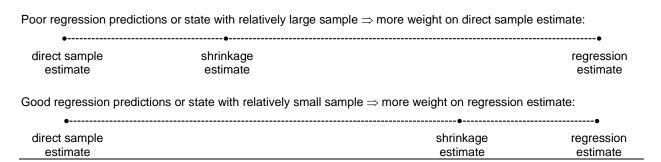
C. Using "shrinkage" methods, average the direct sample estimates and regression predictions to obtain preliminary shrinkage estimates of state SNAP participation rates

Using all of the information at hand, the shrinkage estimator strikes a compromise between the limitations of the direct sample estimator (imprecision) and the regression estimator (bias) by combining the two estimates. As illustrated in Figure II.3, the shrinkage estimator takes a weighted average of the direct sample and regression estimates, weighting them according to their relative accuracy. (See Appendix A for a description of the empirical Bayes methods we used to calculate weights.) When the direct sample estimate is more precise than the regression estimate, the estimator gives more weight to the direct sample estimate. On the other hand, when

the regression estimate is more precise then the direct sample estimate, the estimator gives more weight to the regression estimate. The larger samples drawn in large states support more precise direct sample estimates, so shrinkage estimates tend to be closer to the direct sample estimates for large states. The weight given to the regression estimate depends on how well the regression line "fits." If we find good predictors reflecting why some states have higher participation rates than other states, we say that the regression line "fits well." The shrinkage estimate will be closer to the regression estimate and farther from the direct sample estimate when the regression line fits well than when the line fits poorly.

The direct sample and regression estimates are optimally weighted to improve accuracy by minimizing a measure of error that reflects both imprecision and bias. By accepting a little bias, the shrinkage estimator may be substantially more precise than the direct sample estimator. By sacrificing a little precision, the shrinkage estimator may be substantially less biased than the regression estimator. The shrinkage estimator optimizes the tradeoff between imprecision and bias.

Figure II.3. Shrinkage estimation



In the next step of our estimation procedure, we make some fairly small adjustments to the shrinkage estimates that we derive in this step. Thus, we call the estimates from this step "preliminary" and the estimates from the next step "final."

D. Adjust the preliminary shrinkage estimates to obtain final shrinkage estimates of state SNAP participation rates

We adjusted the preliminary shrinkage estimates of participation rates in two ways. First, we adjusted the rates so that the counts of eligible people implied by the rates sum to the national count of eligible people estimated directly from the CPS ASEC. Second, we adjusted the rates so that no state's estimated rate was greater than 100 percent. These adjustments were carried out separately for each year and for the two groups of eligible people (all eligible people and the working poor). The following description of the adjustments will focus on the FY 2013 estimates for all eligible people. In Appendix A, we describe the results of the adjustments for other years and for the working poor and discuss our adjustment method in more detail.

To implement the first adjustment, we calculated preliminary estimates of the numbers of eligible people from the preliminary estimates of participation rates derived in Step 3 and the administrative estimates of the numbers of SNAP participants obtained in Step 1. The state estimates of eligible people summed to 51,491,775 for FY 2013, while the national total for FY 2013 estimated directly from the CPS ASEC was 50,611,433. To obtain estimated numbers of eligible people for states that sum (aside from rounding error) to the direct estimate of the national total, we multiplied each of the state preliminary estimates of eligible people by $50,611,433 \div 51,491,775$ (≈ 0.9829). Such benchmarking of estimates for smaller areas to a relatively precise estimated total for a larger area is common practice.

After carrying out this first adjustment, six states, Maine, Michigan, Oregon, Tennessee, Washington, and Wisconsin had fewer estimated eligible people than participants in FY 2013, implying participation rates over 100 percent. To cap participation rates at 100 percent, we performed a second adjustment. Specifically, we increased the number of eligible people in Maine, Michigan, Oregon, Tennessee, Washington, and Wisconsin so that the number of eligible

people in those states equaled the number of participants. We reduced the number of eligible people in the other 44 states and the District of Columbia by an equivalent number and in proportion to their numbers of eligible people. This adjustment, which moved small numbers of eligible people among states, did not change the national total. Moreover, except for the states with participation rates initially over 100 percent, this adjustment did not change any state's participation rate by more than half of a percentage point. The rounded participation rates for some states did increase by one percentage point, however.

Applying this adjustment, we obtained our final shrinkage estimates of the numbers of people eligible for SNAP. From those estimates and our administrative estimates of the numbers of SNAP participants, we derived final shrinkage estimates of participation rates. Our final shrinkage estimates are presented in the next chapter.

III. STATE ESTIMATES OF SNAP PARTICIPATION RATES AND NUMBER OF ELIGIBLE PEOPLE

Tables III.1 and III.2 present our final shrinkage estimates of SNAP participation rates and the number of people eligible, respectively, in each state for FY 2011 to FY 2013 for all eligible people and for the working poor. These shrinkage estimates are relatively precise; they have much smaller standard errors and narrower confidence intervals than the CPS ASEC direct sample estimates. Tables III.3 to III.8 display approximate 90-percent confidence intervals showing the uncertainty remaining after using shrinkage estimation to derive the estimates in Tables III.1 and III.2. One interpretation of a 90-percent confidence interval is that there is a 90percent chance that the true value—that is, the true participation rate or the true number of eligible people—falls within the estimated bounds. For example, while our best estimate is that West Virginia's participation rate for all eligible people was 77 percent in FY 2013 (see Table III.1), the true rate may have been higher or lower. However, according to Table III.5, the chances are 90 in 100 that the true rate was between 72 and 82 percent, an interval that is 59 percent as wide as the interval (70 and 87 percent, as cited in Chapter I) around the direct sample estimate. A narrower interval means that we are less uncertain about the true value. According to our calculations, a shrinkage confidence interval for a participation rate is, on average, only about 58 percent as wide as the corresponding direct sample confidence interval. Thus, shrinkage substantially improves precision and reduces our uncertainty.

Despite the impressive gains in precision, however, substantial uncertainty about the true participation rates for some states remains even after the application of shrinkage methods.

Nevertheless, as discussed in Cunnyngham (2016), the shrinkage estimates are sufficiently precise to show, for example, whether a state's SNAP participation rate was probably near the

top, near the bottom, or in the middle of the distribution of rates in a given year. That is enough information for many important purposes, such as guiding an initiative to improve program performance.

Final shrinkage estimates for FY 2011 and FY 2013 presented in this report differ slightly from the estimates presented in Cunnyngham (2015) and Cunnyngham et al. (2015) for two reasons.

- The shrinkage estimates use data from three years to estimate participation rates for each year. Annually, data for the most recent year are added and data for the oldest year are dropped. As a result, the estimates for 2011 and 2012 presented in this report are based on 2011 to 2013 data while the corresponding estimates published in Cunnyngham et al. (2015) are based on 2010 to 2012 data.
- The shrinkage estimates incorporate a regression model that is updated each year. Each year we choose a regression model that best predicts participation rates for all three years and both groups (all eligible people and eligible working poor.) While we place a premium on maintaining consistency in regression predictors from year to year, differences between 2010 data (used in the previous estimates) and 2013 data (used in the current estimates) resulted in the use of a different regression model. Different regression models lead to slight differences in predicted participation rates, which in turn lead to slight differences in estimated participation rates.

Because of these updates, the estimates presented in this report should not be compared to those published in earlier reports.

Table III.1. Final shrinkage estimates of SNAP participation rates

	Final shrinkage estimates of SNAP participation rates (percent)					
	A	All eligible people Working				r
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona	84 79 78	89 83 82	89 90 81	75 63 71	81 69 75	80 80 77
Arkansas California Colorado Connecticut Delaware	72 55 67 81 86	77 63 74 86 96	77 66 81 90 97	69 40 58 67 77	74 49 66 73 86	73 52 73 77 87
District of Columbia Florida	92 83	97 89	96 93	43 68	52 73	60 73
Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine	87 63 80 84 79 84 67 84 76	92 66 85 93 87 96 72 91 84	93 75 86 98 89 96 77 88 86	77 48 76 68 78 84 62 67 71 95	81 53 81 75 87 94 67 74 76	81 65 84 79 86 94 71 70 78
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	78 87 100 75 79 90 71 70 61	85 92 100 85 85 91 74 76 65	90 95 100 87 85 93 74 79 66	61 66 100 71 76 79 67 62 51	69 71 99 80 84 82 70 69 51	77 77 99 78 84 81 75 72 53 79
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	67 81 79 79 70 86 78 100 85 84	73 86 80 84 70 90 80 100 90	76 84 86 84 70 96 80 100 90	62 77 64 67 66 74 67 87 76	70 81 67 74 69 79 72 91 81 74	71 84 76 75 72 85 71 100 80 82
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	79 82 95 72 78 94 75 98 80 91 58	86 89 100 74 84 97 81 100 80 98 61	84 89 100 77 80 100 84 100 78 100 57	77 79 75 65 67 77 69 73 78 84 54	83 87 81 69 75 81 76 77 81 90 61	81 91 82 68 70 86 80 85 78 94 57

Table III.2. Final shrinkage estimates of number of people eligible for SNAP

Table III.2. I iliai	Final shrinkage estimates of number of people eligible for SNAP (thousands)						
	Α	II eligible peop	ole	Working poor			
	2011	2012	2013	2011	2012	2013	
Alabama Alaska Arizona Arkansas California Colorado	985 108 1,188 660 6,212 645	981 109 1,167 641 5,946 624	989 101 1,169 645 5,861 583	389 56 598 291 3,301 332	384 55 596 285 3,235 302	413 49 629 276 3,233 293	
Connecticut Delaware District of Columbia Florida	408 132 139 3,468	396 127 134 3,460	397 130 137 3,529	151 58 39 1,392	163 57 38 1,675	176 60 47 1,674	
Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisaa	1,942 231 267 1,985 1,100 404 437 936 1,100	1,946 237 255 1,859 1,027 359 408 871 1,028	1,939 226 245 1,891 1,020 368 402 924 1,049	978 130 153 943 477 199 238 375 491	908 130 145 833 453 179 216 363 434	903 127 147 895 461 210 220 430 460	
Maine Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	208 725 818 1,685 579 753 1,028 157 244 466 117	213 739 812 1,570 517 742 1,020 148 213 451	209 744 819 1,549 526 755 985 156 213 461 115	86 301 298 758 250 310 448 73 130 221	83 327 254 652 249 296 514 66 120 222 47	80 331 275 641 260 300 442 77 118 238 47	
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	1,030 470 3,491 1,739 70 1,913 745 626 1,820 163	999 461 3,503 1,747 66 1,856 727 631 1,731	1,037 482 3,363 1,791 64 1,719 740 654 1,757	428 241 1,508 733 35 793 380 303 740 67	414 220 1,626 795 29 781 354 292 587 58	462 230 1,488 918 29 723 352 252 667 57	
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	1,002 121 1,299 4,960 355 75 1,116 863 401 715 59	947 114 1,296 4,854 327 75 1,118 838 393 699 54	977 115 1,333 4,750 308 77 1,122 854 407 702 65 50,611	411 61 571 2,710 215 29 511 414 153 337 30	369 57 608 2,686 190 33 513 385 134 332 27	389 60 546 2,663 173 36 487 346 131 364 29	

Table III.3. Approximate 90-percent confidence intervals for final shrinkage estimates for 2011, all eligible people

	Approximate 90-percent confidence intervals for 2011, all eligible people				
	Participation	rate (percent)	Number of eligible p	eople (thousands)	
	Lower bound	Upper bound	Lower bound	Upper bound	
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa	79 73 73 67 53 63 77 81 85 79 83 58 75 80 74	89 85 83 76 57 72 86 92 100 86 91 67 85 88 83	930 100 1,118 620 5,992 602 384 123 127 3,314 1,854 213 250 1,891 1,033 380	1,040 117 1,258 700 6,432 687 432 140 150 3,621 2,031 249 284 2,078 1,168 428	
Kansas Kentucky Louisiana Maine	63 80 72 93	71 89 80 100	409 883 1,039 195	466 990 1,162 220	
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	74 82 95 71 74 85 66 65 56	83 92 100 80 84 95 76 75 66	684 769 1,603 543 703 968 146 225 424	766 866 1,767 616 803 1,088 169 263 508 125	
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	62 75 75 75 64 82 74 94 81	71 87 82 84 75 91 83 100 89	965 436 3,334 1,639 64 1,810 700 594 1,730	1,096 504 3,649 1,839 75 2,015 790 658 1,910	
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	76 75 89 69 73 88 71 93 75 86 53	83 88 100 75 83 100 80 100 85 96 63	955 111 1,228 4,752 332 71 1,045 817 376 677 54	1,049 130 1,371 5,169 377 80 1,187 910 425 754 64	

Table III.4. Approximate 90-percent confidence intervals for final shrinkage estimates for 2012, all eligible people

	Sommation for 2012, and onguito proprio					
	Approximate 90-percent confidence intervals for 2012, all eligible people					
	Participation	rate (percent)	Number of eligible p	people (thousands)		
	Lower bound	Upper bound	Lower bound	Upper bound		
Alabama	84	94	926	1,036		
Alaska	76	89	101	118		
Arizona	77	86	1,101	1,234		
Arkansas	72	82	601	682		
California	61	66	5,716	6,176		
Colorado	69	78	585	663		
Connecticut	82	91	373	418		
Delaware	90	100	120	135		
District of Columbia	90	100	124	144		
Florida	86	93	3,317	3,602		
Georgia	88	97	1,858	2,035		
Hawaii	61	71	219	254		
Idaho	80	90	239	272		
Illinois	88	97	1,774	1,944		
Indiana	82	92	972	1,082		
Iowa	91	100	339	379		
Kansas	68	77	384	433		
Kentucky	87	96	826	916		
Louisiana	79	88	971	1,085		
Maine	94	100	201	225		
Maryland	80	90	697	781		
Massachusetts	87	97	765	858		
Michigan	95	100	1,491	1,649		
Minnesota	80	89	488	546		
Mississippi	79	90	695	788		
Missouri	86	96	964	1,076		
Montana	68	80	136	159		
Nebraska	71	81	198	228		
Nevada	60	70	416	487		
New Hampshire	78	88	109	123		
New Jersey	69	78	938	1,061		
New Mexico	80	92	429	494		
New York	76	83	3,355	3,652		
North Carolina	80	89	1,650	1,843		
North Dakota	64	75	61	, 71		
Ohio	85	95	1,758	1,954		
Oklahoma	76	85	686	769		
Oregon	94	100	601	661		
Pennsylvania	86	94	1,650	1,813		
Rhode Island	86	96	148	165		
South Carolina	82	90	901	994		
South Dakota	82	96	106	123		
Tennessee	95	100	1,228	1,364		
Texas	70	77	4,629	5,080		
Utah	79	89	307	347		
Vermont	92	100	70	79		
Virginia	76	86	1,047	1,188		
Washington	95	100	794	881		
West Virginia	74	85	365	420		
Wisconsin	93	100	663	734		
Wyoming	55	68	48	60		
United States	82	84	50,015	51,402		
-						

Table III.5. Approximate 90-percent confidence intervals for final shrinkage estimates for 2013, all eligible people

	Approximate 90-percent confidence intervals for 2013, all eligible people					
	Participation	rate (percent)	Number of eligible p	Number of eligible people (thousands)		
	Lower bound	Upper bound	Lower bound	Upper bound		
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky	85 84 76 72 64 76 86 92 89 89 89 89 89 70 80 94 85 91 73 84	94 95 86 81 69 86 95 100 100 96 96 80 91 100 94 100 82 93	940 95 1,097 605 5,639 549 376 122 127 3,385 1,857 211 230 1,810 966 349 380 875	1,039 108 1,242 685 6,083 617 418 137 148 3,673 2,021 242 261 1,971 1,074 387 423 974		
Kentucky Louisiana Maine Maryland Massachusetts Michigan Minnesota	82 94 85 90 95 82	91 100 95 100 100 91	993 197 705 773 1,471 498	1,105 221 782 865 1,627 554		
Mississippi Missouri Montana Nebraska Nevada New Hampshire	80 88 68 74 61 80	90 98 79 84 71 90	712 933 144 199 427 108	798 1,037 168 228 496 122		
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	71 77 82 80 65 91 76 94 86	80 90 89 88 75 100 85 100 95	979 443 3,229 1,702 59 1,629 698 626 1,672 147	1,095 521 3,497 1,881 68 1,809 781 682 1,843 162		
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	80 83 95 74 75 94 79 95 73 95 52 84	89 96 100 80 86 100 89 100 83 100 62	929 106 1,265 4,560 288 73 1,055 815 380 668 60	1,025 124 1,401 4,939 328 82 1,189 894 433 735 71		

Table III.6. Approximate 90-percent confidence intervals for final shrinkage estimates for 2011, working poor

	Approximate 90-percent confidence intervals for 2011, working poor					
	Participation	rate (percent)	Number of eligible p	eople (thousands)		
	Lower bound	Upper bound	Lower bound	Upper bound		
Alabama	68	83	350	428		
Alaska	55	71	49	64		
Arizona	64	79	536	661		
Arkansas	62	76	261	321		
California	37	44	3,005	3,597		
Colorado	52	64	297	367		
Connecticut	60	74 85	135 52	167 64		
Delaware District of Columbia	68 33	54	52 29	48		
Florida	62	74	1,268	1,516		
Georgia	70	83	894	1,063		
Hawaii	42	54	114	146		
Idaho	68	83	139	168		
Illinois Indiana	62 71	74 86	865 431	1,021 524		
lowa	71 76	91	182	217		
Kansas	76 56	67	217	260		
Kentucky	60	74	338	413		
Louisiana	64	78	441	542		
Maine	85	100	76	95		
Maryland	55	67	271	331		
Massachusetts	58 58	74	262	335		
Michigan	91	100	690	827		
Minnesota	64	79	224	276		
Mississippi	67	85	275	345		
Missouri	72	87	406	491		
Montana	60	75	65	81		
Nebraska	55	69	116	145		
Nevada	43	59	186	257		
New Hampshire	63	80	40	51		
New Jersey	55	70	377	478		
New Mexico	68	87	210	271		
New York	57	70	1,355	1,662		
North Carolina	61	73	667	799		
North Dakota	58	75	30	39		
Ohio	67	81	721	865		
Oklahoma	60	74	339	421		
Oregon	77	96	269	336		
Pennsylvania	69	83	671	809		
Rhode Island	61	77	60	75		
South Carolina	70	84	373	448		
South Dakota	71	88	54	68		
Tennessee	67	82	514	628		
Texas	60	69	2,515	2,905		
Utah	60	74	193	237		
Vermont	68	86	25 450	32		
Virginia Washington	62 66	76 81	459 372	563 457		
Washington West Virginia	70	86	372 137	457 169		
Wisconsin	76	92	305	369		
Wyoming	48	61	26	34		
United States	65	69	23,542	24,830		
-						

Table III.7. Approximate 90-percent confidence intervals for final shrinkage estimates for 2012, working poor

Participation rate (percent)		Approximate 00 percent confidence intervals for 2012, working peer					
Lower bound Lower bound Lower bound		11 21					
Alabama 73 89 346 423 Alaska 60 78 48 62 Arizona 68 83 536 657 Arkansas 67 80 259 311 California 45 53 2,966 3,505 Colorado 60 73 272 332 Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 834 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 767 899 Indiana 80 95 414 493 Icwa 86 100 163 195							
Alaska 60 78 48 62 Arizona 68 83 536 657 Arkansas 67 80 259 311 California 45 53 2,966 3,505 Colorado 60 73 272 332 Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 834 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 767 899 Indiana 80 95 414 493 Iowa 86 100 163 195 Kansas 62 72 199 233		Lower bound	Upper bound	Lower bound	Upper bound		
Arizona 68 83 536 657 Arkansas 67 80 259 311 California 45 53 2,966 3,505 Colorado 60 73 272 332 Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 84 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 767 899 Indiana 80 95 414 493 Ilowa 86 100 163 195 Kansas 62 72 199 233 Kentucky 68 81 331 394 <							
Arkansas 67 80 259 311 California 45 53 2,966 3,505 Colorado 60 73 272 332 Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 834 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 767 899 Indiana 80 95 414 493 Iowa 86 100 163 195 Kansas 62 72 199 233 Kenucky 68 81 331 394 Kenucky 68 81 331 396 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>							
California 46 53 2,966 3,506 Colorado 60 73 272 332 Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 834 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 76 89 Indiana 80 95 414 493 Indiana 80 95 414 493 Inowa 86 100 163 195 Kansas 62 72 199 233 Kantucky 68 81 331 394 Louisiana 69 83 394 474 <							
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Connecticut 66 81 147 179 Delaware 77 94 51 62 District of Columbia 41 63 30 46 Florida 67 79 1,538 1,811 Georgia 74 87 834 981 Hawaii 47 59 115 145 Idaho 74 88 131 158 Illinois 69 81 767 899 Indiana 80 95 414 493 Iowa 86 100 163 195 Kansas 62 72 199 233 Kentucky 68 81 331 396 Louisiana 69 83 394 474 Maine 86 100 74 91 Maryland 62 76 295 360 Massachusetts 63 79 226 282							
Delaware							
District of Columbia							
Florida							
Hawaii Idaho							
Hawaii	Georgia	74	87	834	981		
Idaho							
Indiana	Idaho	74	88		158		
lowa 86 100 163 195 Kansas 62 72 199 233 Kentucky 68 81 331 396 Louisiana 69 83 394 474 Maine 86 100 74 91 Maryland 62 76 295 360 Massachusetts 63 79 226 282 Michigan 90 100 593 710 Minnesota 73 87 226 282 Missouri 74 89 466 561 Missouri 74 89 466 561 Montana 62 77 58 73 Nebraska 62 76 108 132 New Jarsey 62 77 369 458 New Hampshire 70 87 42 52 New Mexico 72 91 194 245	Illinois	69		767	899		
Kansas 62 72 199 233 Kentucky 68 81 331 396 Louisiana 69 83 394 474 Maine 86 100 74 91 Maryland 62 76 295 360 Massachusetts 63 79 226 282 Michigan 90 100 593 710 Minesota 73 87 226 282 Mississippi 75 92 266 326 Missouri 74 89 466 561 Montana 62 77 58 73 Nebraska 62 76 108 132 Nevada 44 59 191 253 New Hampshire 70 87 42 52 New Jersey 62 77 369 458 New Mexico 72 91 194 245 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>							
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Wisconsin 81 98 301 363 Wyoming 53 70 23 30							
Wyoming 53 70 23 30							
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Table III.8. Approximate 90-percent confidence intervals for final shrinkage estimates for 2013, working poor

	Approximate 90-percent confidence intervals for 2013, working poor				
	Participation	rate (percent)	Number of eligible p	eople (thousands)	
	Lower bound	Upper bound	Lower bound	Upper bound	
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas	73 72 68 66 48 66 70 77 47 67 74 58 76 73 78 86 65	87 88 85 80 56 80 84 96 72 79 87 73 91 85 93 100 76	376 44 562 249 2,976 266 160 53 37 1,535 832 112 133 827 422 192 203	451 54 697 303 3,489 320 192 66 57 1,814 974 141 160 963 500 228 238	
Kentucky Louisiana Maine	63 72 87	76 85 100	389 420 72	471 499 89	
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	70 69 90 71 75 74 67 65 46 71	84 85 100 85 93 88 83 79 60	301 247 584 236 268 403 69 106 205 42	361 304 699 283 332 481 85 130 271	
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	64 75 69 68 64 77 64 90 73 74	78 94 82 82 81 92 77 100 87	415 204 1,363 836 26 659 319 228 608 51	509 256 1,613 1,000 32 786 385 277 726 62	
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	74 81 75 63 63 77 73 78 69 85 49	88 100 89 73 78 94 87 93 86 100 65	355 54 497 2,483 155 33 442 316 117 332 25	423 66 595 2,844 192 40 532 376 146 397 34 24,519	

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APPENDIX A

THE ESTIMATION PROCEDURE: ADDITIONAL TECHNICAL DETAILS



This appendix provides additional information and technical details about our four-step procedure to estimate state SNAP participation rates for all eligible people and the working poor. Each step is discussed in turn.

1. From CPS ASEC data and SNAP administrative data, derive direct sample estimates of state SNAP participation rates for each of the three fiscal years 2011 to 2013

We derived direct sample estimates of participation rates for all eligible people for a given fiscal year according to:

(1)
$$Y_{1,i} = 100 \frac{P_i(\varepsilon_{1,i}/100)}{(E_{1,i}/100)T_i},$$

where $Y_{1,i}$ is the estimated participation rate for all eligible people for state i (i = 1, 2, ..., 51); P_i is the number of people participating in SNAP according to SNAP Program Operations data; $\varepsilon_{1,i}$ is the percentage of participating people who are correctly receiving benefits and eligible under federal SNAP rules according to SNAP Quality Control (SNAP QC) data; $E_{1,i}$ is the number of people who are eligible for the SNAP according to the CPS ASEC, expressed as a percentage of the CPS ASEC population; and T_i is the resident population according to decennial census and administrative records (mainly vital statistics) data. 2,3,4

We adjusted P_i by $\varepsilon_{1,i}$ to exclude from our estimates of participants two groups that are not included in our estimates of eligible people. First, we excluded participants who were ineligible for SNAP but received benefits in error. Second, we excluded participants who were eligible

 $^{^{2}}$ P_{i} is adjusted to exclude from our estimate of participants those people who received SNAP benefits only because of a natural disaster and, thus, are not included in our estimate of eligibles. Because P_{i} is obtained from SNAP Program Operations data, which include the full population of SNAP cases, it is not subject to sampling error. Participant figures, including counts of participants eligible only through disaster assistance, were provided by the Food and Nutrition Service (FNS).

³ We obtained estimates for fiscal years 2011 to 2013 from the CPS ASEC samples for 2011 to 2014, for which the survey instruments collected household income data for the prior calendar years, that is, 2010 to 2013.

⁴ In broad terms, the population estimates derived by the Census Bureau are obtained by subtracting from census counts people "exiting" the population (due to death or net out-migration) and adding people "entering" the population (due to birth or net in-migration).

through state expanded categorical eligibility rules but would not pass the federal SNAP income and asset tests.

We estimated the percentage of people who were eligible for SNAP according to:

(2)
$$E_{1,i} = 100 \frac{Z_{1,i}}{N_i}$$
,

where $Z_{1,i}$ is the CPS ASEC estimate of the number of eligible people and N_i is the CPS ASEC estimate of the population. To derive fiscal year estimates, we combined two years of the CPS ASEC. For example, to estimate $Z_{1,i}$ for FY 2013, we used data from the 2013 CPS ASEC (simulating October through December 2012) and the 2014 CPS ASEC (simulating January through September 2013). To estimate N_i for FY 2013, we used a weighted average of population estimates from the two CPS ASEC files. Estimated percentages are more precise than estimated counts because the sampling errors in the numerators and denominators of percentages tend to be positively correlated and, therefore, partially "cancel out."

We similarly derived sample estimates of participation rates for the working poor for a given year according to:

(3)
$$Y_{2,i} = 100 \frac{P_i(\varepsilon_{2,i}/100)}{(E_{2,i}/100)T_i}$$

and

(4)
$$E_{2,i} = 100 \frac{Z_{2,i}}{N_i}$$
,

where $Y_{2,i}$ is the estimated participation rate for the working poor for state i; $\varepsilon_{2,i}$ is the percentage of participating people who are working poor, correctly receiving SNAP benefits, and eligible under federal SNAP rules according to SNAP QC data; $E_{2,i}$ is the percentage of people who are working poor and eligible for SNAP according to the CPS ASEC; $Z_{2,i}$ is the CPS ASEC estimate of the number of eligible people for SNAP, and P_i , T_i , and N_i are as defined above.

We define as "working poor" any person who is eligible for SNAP and lives in a household in which a member earns money from a job. Working poor who are participating in SNAP are identified slightly differently in the SNAP QC data than in the CPS ASEC. In the SNAP QC data, they are identified not just by their earnings but also by other indicators of earnings that suggest a household was very likely to have a member who worked. Specifically, a household is identified as working poor if the household had earnings according to the edited SNAP QC datafile, or if prior to the editing process, multiple earnings indicators suggest that a member of the household was working (Figure A.1).⁵

Figure A.1. Algorithm to identify working poor households

A household is identified as working poor if it meets one of the following criteria:

- 1) Earnings in the edited SNAP QC data
- 2) Multiple indicators of earnings in the unedited SNAP QC data
 - a) At least one person with recorded earned income AND
 - i) A recorded earned income deduction or at least one person with a recorded workforce participation variable indicating he or she is employed

OR

- ii) Recorded earned and unearned income that sum to the recorded total income, or recorded earned income with the earned income deduction already subtracted and unearned income that sum to the recorded total income (some states subtract the earned income deduction from income deemed by an ineligible member before recording it on the file)
- b) A recorded earned income deduction AND
 - At least one person with a recorded workforce participation variable indicating that he or she is employed

OR

ii) Earnings implied by the recorded earned income deduction and recorded unearned income that sum to the recorded total income

OR

 iii) Recorded gross income that is more than the earned income implied by the earned income deduction and both unearned and earned income equal zero (to account for household records that have no recorded individual income amounts but do have what appear to be consistent householdlevel indicators)

⁵ Filion et al. (2014) describe the procedure for editing the SNAP QC data to ensure consistency between a household's income and SNAP benefit.

We derived SNAP eligibility estimates for states by applying SNAP rules to CPS ASEC households. However, some key information needed to determine whether a household is eligible for SNAP is not collected in the CPS ASEC. For example, there are no data on asset balances or expenses deductible from gross income. Also, it is not possible to ascertain directly which members of a dwelling unit purchase and prepare food together or which members may be ineligible for SNAP under provisions of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193) and subsequent legislation pertaining to noncitizens. Yet another limitation is that only annual, rather than monthly, income amounts are recorded.

We have developed methods to address these data limitations. These methods—including procedures for identifying the members of the SNAP household within the (potentially) larger CPS ASEC household, taking account of the restrictions on participation by noncitizens, distributing annual amounts across months, and imputing net income—are described in Eslami (2015) and earlier reports in that series. These reports also describe how we applied SNAP gross and net income tests and calculated the benefits for which an eligible household would qualify.

In addition to our point estimates of participation rates, we need estimates of their sampling variability. We can estimate the variances of $Y_{1,i}$ and $Y_{2,i}$ as follows:⁷

A.6

⁶ Because our focus in this document is on participation among people who are eligible for SNAP, these estimates of SNAP eligibility counts and participation rates do not include people who are not legally entitled to receive SNAP benefits, such as Supplemental Security Income (SSI) recipients in California who receive cash in lieu of SNAP benefits. It might be useful in other contexts, however, to consider participation rates among those eligible for SNAP or a cash substitute.

⁷ Correctly-eligible rates are estimated from SNAP QC sample data and are subject to sampling error, although it is small relative to other sources of error in the estimated participation rates. In taking into account this sampling error when deriving the estimates presented here, we take into account its correlation with the sampling error associated with the identification of the working poor participants, also estimated using the SNAP QC data. That is, we take into account the correlation between $\varepsilon_{1,i}$, the correctly eligible rate, and $\varepsilon_{2,i}$, the correctly eligible working poor rate.

(5) $\operatorname{var}(Y_{1,i}) = \operatorname{variance} \operatorname{due} \operatorname{to} E_{1,i} \operatorname{when} \varepsilon_{1,i} \operatorname{is} \operatorname{fixed} + \operatorname{variance} \operatorname{due} \operatorname{to} \varepsilon_{1,i} \operatorname{when} E_{1,i} \operatorname{is} \operatorname{fixed}$ $= \operatorname{var}_{E_1|E_1}(Y_{1,i}) + \operatorname{var}_{\varepsilon_1|E_1}(Y_{1,i})$

and

(6) $\operatorname{var}(Y_{2,i}) = \operatorname{variance} \operatorname{due} \operatorname{to} E_{2,i} \operatorname{when} \varepsilon_{2,i} \operatorname{is} \operatorname{fixed} + \operatorname{variance} \operatorname{due} \operatorname{to} \varepsilon_{2,i} \operatorname{when} E_{2,i} \operatorname{is} \operatorname{fixed} = \operatorname{var}_{E_2 \mid \varepsilon_2}(Y_{2,i}) + \operatorname{var}_{\varepsilon_2 \mid E_2}(Y_{2,i}).$

When a variable is held fixed, we fix it at its point estimate. Note that we do not include covariance terms in these expressions because the estimates of $E_{1,i}$ and $\varepsilon_{1,i}$ —like the estimates of $E_{2,i}$ and $\varepsilon_{2,i}$ —are based on independent samples.

For a given year, we estimated $\operatorname{var}_{E_1|\mathcal{E}_1}(Y_{1,i})$ and $\operatorname{var}_{E_2|\mathcal{E}_2}(Y_{2,i})$ using a replication method called the Successive Difference Replication Method (SDRM) with 160 replicate weights developed by the U.S. Census Bureau for the CPS ASEC; that is

(7)
$$\operatorname{var}_{E_{1}\mid\mathcal{E}_{1}}(Y_{1,i}) = \frac{4}{160} \sum_{r=1}^{160} (Y_{1,i(r)} - Y_{1,i})^{2},$$

where $Y_{1,i(r)}$ is the rth (r = 1, 2, ..., 160) replicate estimate with the same form as $Y_{1,i}$ and calculated using the rth set of replicate weights.

The replicate estimates $Y_{1,i(r)}$ are obtained by replicating $E_{1,i}$; that is,

(8)
$$E_{1,i(r)} = 100 \frac{Z_{1,i(r)}}{N_{i(r)}}$$

and

(9)
$$Y_{1,i(r)} = 100 \frac{P_i(\varepsilon_{1,i}/100)}{(E_{1,i(r)}/100)T_i}$$
.

Then, we can assess the degree of sampling variability (estimate the variance of $Y_{1,i}$) by using formula (7).

We obtain estimates of sampling error variances pertaining to the participation rates for the working poor in the same manner, substituting $Z_{2,i}$, the CPS ASEC sample estimate of the number of eligible working poor in state i, for $Z_{1,i}$; $Z_{2,i(r)}$, the rth replicate estimate of $Z_{2,i}$, for $Z_{1,i(r)}$; $E_{2,i}$ for $E_{1,i}$; $E_{2,i(r)}$ for $E_{1,i(r)}$; $E_{2,i}$ for $E_{1,i(r)}$; $E_{2,i(r)}$ for $E_{1,i(r)}$ for

(10)
$$\operatorname{var}_{E_2|\mathcal{E}_2}(Y_{2,i}) = \frac{4}{160} \sum_{r=1}^{160} (Y_{2,i(r)} - Y_{2,i})^2$$
.

Next, based on Equation (1) we can estimate $var_{e_i|E_i}(Y_{1,i})$ according to:

(11)
$$\operatorname{var}_{\varepsilon_{1}|E_{1}}(Y_{1,i}) = \left(100 \frac{P_{i}}{T_{i}E_{1,i}}\right)^{2} \operatorname{var}(\varepsilon_{1,i}),$$

because P_i and T_i are constants (or, at least, subject to negligible sampling variability) and $E_{1,i}$ is held fixed at its point estimate. Also note that we estimated $\varepsilon_{1,i}$ (the correctly-eligible rate) and $\varepsilon_{2,i}$ (the percentage of participants who are working poor and correctly eligible) from the SNAP QC sample data as follows:

(12)
$$\varepsilon_{1,i} = 100 \frac{\sum_{h} m_{i,h} \varepsilon_{1,i,h}}{\sum_{h} m_{i,h}},$$

and

(13)
$$\varepsilon_{2,i} = 100 \frac{\sum_{h} m_{i,h} \varepsilon_{2,i,h}}{\sum_{h} m_{i,h}},$$

where h indexes households in a state's SNAP QC sample; $m_{i,h}$ equals the number of people in household h times the weight for household h; $\varepsilon_{1,i,h}$ is an indicator that household h is eligible to receive SNAP benefits; and $\varepsilon_{2,i,h}$ is an indicator that household h is working poor and eligible to receive SNAP benefits.

To calculate $var(\varepsilon_{1,i})$ and $var(\varepsilon_{2,i})$, we constructed 500 bootstrap replicate weights for the SNAP QC sample. The estimate $\varepsilon_{1,i}$ is then replicated 500 times, each using a set of bootstrap replicate weights. That is,

(14)
$$\varepsilon_{1,i(r)} = 100 \frac{\sum_{h} m_{i,h(r)} \varepsilon_{1,i,h}}{\sum_{h} m_{i,h(r)}}, (r = 1, 2, ..., 500),$$

where $m_{i,h(r)}$ is the number of people in household h times the rth replicate weight for household h. Then:

(15)
$$\operatorname{var}(\varepsilon_{1,i}) = \frac{1}{499} \sum_{r=1}^{500} \left(\varepsilon_{1,i(r)} - \overline{\varepsilon}_{1,i}^* \right)^2,$$

where

(16)
$$\overline{\varepsilon}_{1,i}^* = \frac{1}{500} \sum_{r=1}^{500} \varepsilon_{1,i(r)}.$$

Similarly, variances $\operatorname{var}_{\varepsilon_2|E_2}(Y_{2,i})$ pertaining to the working poor can be calculated in the same manner, by substituting $\varepsilon_{2,i,h}$ for $\varepsilon_{1,i,h}$; $\varepsilon_{2,i,(r)}$ for $\varepsilon_{1,i,(r)}$; and $\operatorname{var}(\varepsilon_{2,i})$ for $\operatorname{var}(\varepsilon_{1,i})$ in Equations (11) to (16), resulting in

(17)
$$\operatorname{var}_{\varepsilon_{2}|E_{2}}(Y_{2,i}) = \left(100 \frac{P_{i}}{T_{i}E_{2,i}}\right)^{2} \operatorname{var}(\varepsilon_{2,i}).$$

Summing the estimates from Equations (7) and (11)—as indicated by Equation (5)—and taking the square root of the sum provides an estimated standard error of the participation rate for all eligible people. Similarly, summing the estimates from Equations (10) and (17)—as indicated by Equation (6)—and taking the square root of the sum provides an estimated standard error of the participation rate for the working poor.

We estimated the covariance between the estimates of participation rates for all eligible people and the working poor, for a given year, according to:⁸

(18)
$$\operatorname{cov}(Y_{1,i},Y_{2,i}) = \operatorname{covariance} \operatorname{due} \operatorname{to} E_{1,i} \operatorname{and} E_{2,i} \operatorname{when} \varepsilon_{1,i} \operatorname{and} \varepsilon_{2,i} \operatorname{are} \operatorname{fixed} + \operatorname{covariance} \operatorname{due} \operatorname{to} \varepsilon_{1,i} \operatorname{and} \varepsilon_{2,i} \operatorname{when} E_{1,i} \operatorname{and} E_{2,i} \operatorname{are} \operatorname{fixed} = \operatorname{cov}_{E_1E_2|\varepsilon_1\varepsilon_2}(Y_{1,i},Y_{2,i}) + \operatorname{cov}_{\varepsilon_1\varepsilon_2|E_1E_2}(Y_{1,i},Y_{2,i}).$$

To derive an estimate of the first term in this expression, we obtained an SDRM estimate of the covariance due to $E_{1,i}$ and $E_{2,i}$ according to:

(19)
$$\operatorname{cov}_{E_1 E_2 \mid \varepsilon_1 \varepsilon_2}(Y_{1,i}, Y_{2,i}) = \frac{4}{160} \sum_{r=1}^{160} (Y_{1,i(r)} - Y_{1,i})(Y_{2,i(r)} - Y_{2,i}).$$

For the second term, we estimated the covariance due to $\varepsilon_{1,i}$ and $\varepsilon_{2,i}$ according to:

(20)
$$\operatorname{cov}_{\varepsilon_{1}\varepsilon_{2}|E_{1}E_{2}}(Y_{1,i},Y_{2,i}) = \left(100 \frac{P_{i}}{T_{i}E_{1,i}}\right) \left(100 \frac{P_{i}}{T_{i}E_{2,i}}\right) \operatorname{cov}(\varepsilon_{1,i},\varepsilon_{2,i})$$

where

(21)
$$\operatorname{cov}(\varepsilon_{1,i},\varepsilon_{2,i}) = \frac{1}{\left(\sum_{h} m_{i,h}\right)^{2}} \left(\frac{n_{i}}{n_{i}-1}\right) \sum_{h} m_{i,h}^{2} \left(\varepsilon_{1,i,h} - \varepsilon_{1,i}\right) \left(\varepsilon_{2,i,h} - \varepsilon_{2,i}\right).$$

Because CPS ASEC samples from different years are not independent, participation rates for different years are correlated. We derived a preliminary SDRM estimate of the correlation between $Y_{1,i,t}$ and $Y_{2,i,t-g}$, the sample estimate for all eligible people for one year (year t) and the sample estimate for the working poor for g years earlier, as follows:

(22)
$$\operatorname{cov}(Y_{1,i,t}, Y_{2,i,t-g}) = \frac{4}{160} \sum_{r=1}^{160} (Y_{1,i(r),t} - Y_{1,i,t}) (Y_{2,i(r),t-g} - Y_{2,i,t-g}).$$

 $^{^{8}}$ We do not need to include additional terms because the CPS ASEC and SNAP QC samples are independent.

⁹ In contrast, SNAP QC samples from different years are independent. Hence, sampling variability in estimates from the CPS ASEC is the only source of intertemporal covariation between participation rates.

The correlation between $Y_{1,i,t}$ and $Y_{2,i,t-g}$ is:

(23)
$$\operatorname{corr}(Y_{1,i,t}, Y_{2,i,t-g}) = \frac{\operatorname{cov}(Y_{1,i,t}, Y_{2,i,t-g})}{\sqrt{\operatorname{var}(Y_{1,i,t}) \operatorname{var}(Y_{2,i,t-g})}}.$$

To improve the precision of estimated correlations (and covariances), we used a simple smoothing technique in which we "replaced" the state-specific correlation from Equation (23) by the average correlation between $Y_{1,i,t}$ and $Y_{2,i,t-g}$ across states:

(24)
$$\overline{\operatorname{corr}}(Y_{1,t}, Y_{2,t-g}) = \frac{\sum_{i=1}^{51} (n_{i,t} + n_{i,t-g}) \operatorname{corr}(Y_{1,i,t}, Y_{2,i,t-g})}{\sum_{i=1}^{51} (n_{i,t} + n_{i,t-g})},$$

where $n_{i,t}$ and $n_{i,t-g}$ are the (unweighted) number of households in the CPS ASEC samples for one year and g years earlier, respectively. Using this average correlation, we obtained as our final estimate of the covariance between $Y_{1,i,t}$ and $Y_{2,i,t-g}$:

(25)
$$\operatorname{cov}(Y_{1,i,t}, Y_{2,i,t-g}) = \overline{\operatorname{corr}}(Y_{1,t}, Y_{2,t-g}) \sqrt{\operatorname{var}(Y_{1,i,t}) \operatorname{var}(Y_{2,i,t-g})}$$
.

Other intertemporal covariances—such as the covariance between the participation rates for the working poor in two different years—are similarly estimated. As described under Step 3, the variances and covariances obtained in this step are the elements of a variance-covariance matrix used in deriving shrinkage estimates of participation rates.¹⁰

Table A.1 presents estimates of the number of people participating in SNAP (values of P_i); Table A.2 presents the percentages of all and working poor participants who are income eligible and correctly receiving SNAP benefits (values of ε_{1i} and ε_{2i}); and Tables A.3 and A.4 show payment error-adjusted numbers of, respectively, all people and the working poor receiving

 $^{^{10}}$ All interstate covariances equal zero because state samples are independent in both the CPS ASEC and the SNAP OC.

SNAP benefits under normal program eligibility rules (values of $P_i(\varepsilon_{1,i}/100)$ and $P_i(\varepsilon_{2,i}/100)$). Tables A.5, A.6, A.7, and A.8 present CPS ASEC estimates of SNAP eligibility percentages for all eligible people and for the working poor (values of E_{1i} and E_{2i}), the number of eligible people (values of Z_{1i}), the number of eligible working poor (values of Z_{2i}), and the population (values of N_i), respectively, and Table A.9 presents the population totals (values of T_i). Table A.10 shows the percentage of working poor participants in Table A.4 that are in households without reported earned income, but are identified as working poor through the other indicators described in Figure A.1. Table A.11 displays direct sample estimates of participation rates for all eligible people and for the working poor (values of $Y_{1,i}$ and $Y_{2,i}$), and Table A.12 presents standard errors for the direct sample estimates.

2. Using a Regression Model, Predict State SNAP Participation Rates Based on Administrative and ACS Data

Our regression model consisted of six equations, with three predicting SNAP participation rates for all eligible people in fiscal years 2011, 2012, and 2013, and three predicting SNAP participation rates for the working poor in fiscal years 2011, 2012, and 2013. The six equations were estimated jointly, and the values of the regression coefficients could vary from equation to equation. The predictors used were (in addition to an intercept):

- the percentage of the population correctly receiving SNAP benefits under regular program rules according to administrative data and population estimates
- the percentage of children under age 18 with household income under 50 percent of the federal poverty level according ACS one-year estimates
- the percentage of occupied housing units that are owner-occupied according to ACS oneyear estimates
- the percentage of civilian employed individuals age 16 and older who were employed in the private sector according to ACS one-year estimates
- the percentage of civilian employed individuals age 16 and older who were in service occupations according to ACS one-year estimates

- the percentage of individuals age 65 and older not claimed on tax returns or claimed on tax returns with adjusted gross income under the federal poverty level according to individual income tax data and population estimates
- the percentage of children age 5 to 17 approved to receive free lunches under the National School Lunch Program according to administrative data and population estimates

For all the predictors, we used 2011 values in both equations for predicting FY 2011 rates, 2012 values in both equations for predicting FY 2012 rates, and 2013 values in both equations for predicting FY 2013 rates. Because prediction errors were allowed to be correlated and intergroup and intertemporal correlations among direct sample estimates were taken into account as specified in the next step, the shrinkage estimates for a group (all eligible people or the working poor) in any one year were determined by the predictions and sample estimates for all three years and both groups.

In addition to the predictors that we selected for our "best" model, we considered many other potential predictors, including three used to produce the estimates in Cunnyngham et al. (2015): (1) the median adjusted gross income according to individual income tax data; (2) the percentage of individuals age 25 and older who have completed a bachelor's degree according to ACS one-year estimates; and (3) the percentage of households with a female householder, no husband present, and related children under age 18 according to ACS one-year estimates. All of the predictors considered had three characteristics: (1) they are face valid, that is, it is plausible that they are good indicators of differences among states in SNAP participation rates; (2) they could be defined and measured uniformly across states; and (3) they could be obtained from nonsample or highly precise sample data—such as the ACS or administrative records data—and, thus, measured with little or no sampling error. In addition, the first four predictors listed above were used to produce the estimates in Cunnyngham et al. (2015), and the last predictor listed above was included in the regression model used two years ago.

As shown in the next step, where we describe the regression estimation procedure in more detail, we do not have to calculate regression estimates as a separate step, although we do have to select a best regression model before we can calculate shrinkage estimates. We selected our best model on the basis of its strong relative performance in predicting participation rates, judging performance by examining functions of the regression residuals, such as mean squared error. In addition to assessing the predictive fit of alternative specifications, we checked for potential biases as part of our extensive model evaluation. To check for biases, we looked for a persistent tendency to under- or overpredict the number of eligible people for certain types of states categorized by, for example, population size, region, and percentage of the population that is black or Hispanic. We found no strong evidence of correctable bias.

Predictors considered are listed in Table A.13 and definitions and data sources for the predictors in our best regression model are given in Table A.14. The values for the 2011, 2012, and 2013 predictors listed above are displayed in Tables A.15, A.16, and A.17, respectively. Regression estimates of participation rates for all eligible people and the working poor are in Table A.18, and the standard errors for the regression estimates are in Table A.19.

3. Using shrinkage methods, average the direct sample estimates and regression predictions to obtain preliminary shrinkage estimates of state SNAP participation rates

To average the direct sample estimates and the regression predictions, we used an empirical Bayes shrinkage estimator. ¹² The estimator does not have a closed-form expression from which

¹¹ The regression equations do not express causal relationships. Rather, they imply only statistical associations. For this reason, predictors are often called "symptomatic indicators." They are symptomatic of differences among states in conditions associated with having higher or lower participation rates.

¹² Although our shrinkage estimator averages direct sample and regression estimates, a state's shrinkage estimate for either all eligible people or the working poor in a given year does not have to be between the direct sample and regression estimates for the group and year in question. It may be above both of those estimates if, for example, they seem too low based on data from other years. In most cases, the shrinkage estimates presented in this report are between the direct sample and regression estimates. In the remaining cases, the shrinkage estimate is usually close to

we can calculate shrinkage estimates. Instead, we must numerically integrate over six scalar parameters— σ_1 , σ_2 , ρ , η_1 , η_2 , and η_{12} —that measure the lack of fit of the regression model and the correlations among regression prediction errors. To perform the numerical integration, we specified a grid of 5,376,000 equally-spaced points, starting with $\sigma_1 = 0.001$, $\sigma_2 = 0.001$, $\rho_3 = 0.000$, $\rho_4 = 0.000$, $\rho_5 = 0.000$, and $\rho_{12} = 0.009$, and incrementing $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, $\rho_5 = 0.000$, and $\rho_5 = 0.000$, $\rho_5 =$

(26)
$$\theta_k = (\Sigma_k^{-1} + V^{-1})^{-1} (\Sigma_k^{-1} X \hat{B}_k + V^{-1} Y)$$
,

a variance-covariance matrix:

$$(27) \quad U_k = (\Sigma_k^{-1} + V^{-1})^{-1} + (\Sigma_k^{-1} + V^{-1})^{-1} \Sigma_k^{-1} X (X'(\Sigma_k + V)^{-1} X)^{-1} X' \Sigma_k^{-1} (\Sigma_k^{-1} + V^{-1})^{-1},$$

and a probability:

(28)
$$p_k^* = /\Sigma_k + V/^{1/2}/X'(\Sigma_k + V)^{-1}X/^{1/2} \exp\left(-\frac{1}{2}(Y - X\hat{B}_k)'(\Sigma_k + V)^{-1}(Y - X\hat{B}_k)\right).$$

In these expressions, Y is a column vector of direct sample estimates (from Step 1) with 306 elements, six sample estimates for each of the 51 states. The first six elements of Y pertain to the first state, the next six to the second state, and so forth. For a given state, the first two elements are the FY 2011 sample estimates for all eligible people and the working poor, respectively; the second two elements are the FY 2012 estimates; and the final two elements are the FY 2013 estimates. The vector of shrinkage estimates, θ_k , has the same structure as the vector of sample estimates, Y. Y is the (306 × 306) variance-covariance matrix for the sample estimates. Because

either the sample or regression estimate, and it is often close to both because the sample and regression estimates are close to each other.

state samples are independent in the CPS ASEC, V is block-diagonal with 51 (6 × 6) blocks. We described under Step 1 how we derived estimates for the elements of V. X is a (306 × 48) matrix containing values for each of the seven predictors (plus an intercept) for every state, every fiscal year (2011, 2012, and 2013), and both groups (all eligible people and the working poor). The first six rows of X pertain to the first state, the next six rows pertain to the second state, and so forth. The six rows for state i are given by:

$$(29) \quad X_{i} = \begin{pmatrix} x'_{i11} & \underline{O} & \underline{O} & \underline{O} & \underline{O} & \underline{O} & \underline{O} \\ \underline{O} & x'_{i12} & \underline{O} & \underline{O} & \underline{O} & \underline{O} & \underline{O} \\ \underline{O} & \underline{O} & x'_{i21} & \underline{O} & \underline{O} & \underline{O} & \underline{O} \\ \underline{O} & \underline{O} & \underline{O} & x'_{i22} & \underline{O} & \underline{O} \\ \underline{O} & \underline{O} & \underline{O} & \underline{O} & x'_{i31} & \underline{O} \\ \underline{O} & \underline{O} & \underline{O} & \underline{O} & \underline{O} & \underline{O} & x'_{i32} \end{pmatrix},$$

where X'_{it1} is a row vector for fiscal year t (t = 1 for 2011, t = 2 for 2012, and t = 3 for 2013) with eight elements (an intercept plus the seven predictors listed under Step 2) to predict participation rates for all eligible people. X'_{it2} is a row vector for year t with eight elements to predict participation rates for the working poor. $\underline{\theta}$ is a row vector with eight zeros. In a given year, the values of the predictors are the same for the equations for all eligible people and for the working poor. Thus, $X'_{it1} = X'_{it2}$. \hat{B}_k is a (48 × 1) vector of regression coefficients, and is given by:

(30)
$$\hat{B}_k = (X'(\Sigma_k + V)^{-1}X)^{-1}X'(\Sigma_k + V)^{-1}Y$$
.

Finally, Σ_k is a block-diagonal matrix with 51 (6 × 6) blocks, and every block equals:

$$(31) \quad \Sigma_{k}^{*} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} \sigma_{1,k}^{2} & \sigma_{1,k}\sigma_{2,k}\rho_{k} \\ \sigma_{1,k}\sigma_{2,k}\rho_{k} & \sigma_{2,k}^{2} \end{pmatrix} + \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \otimes \begin{pmatrix} \eta_{1,k}^{2} & \eta_{1,k}\eta_{2,k}\eta_{12,k} \\ \eta_{1,k}\eta_{2,k}\eta_{12,k} & \eta_{2,k}^{2} \end{pmatrix}.$$

After calculating θ_k , U_k , and p_k^* 5,376,000 times (once for each combination of σ_1 , σ_2 , ρ , η_1 , η_2 , and η_{12}), we calculated the probability of $(\sigma_{1,k}, \sigma_{2,k}, \rho_k, \eta_{1,k}, \eta_{2,k}, \eta_{12,k})$:

(32)
$$p_k = \frac{p_k^*}{\sum_{k=1}^{5,376,000} p_k^*},$$

which is also an estimate of the probability that the shrinkage estimates θ_k are the true values. As Equation (32) suggests, the p_k are obtained by normalizing the p_k^* to sum to one.

To complete the numerical integration over σ_1 , σ_2 , ρ , η_1 , η_2 , and η_{12} and obtain a single set of shrinkage estimates, we calculated a weighted sum of the 5,376,000 sets of shrinkage estimates, weighting each set θ_k by its associated probability p_k . Thus, our shrinkage estimates are:

(33)
$$\theta = \sum_{k=1}^{5,376,000} p_k \theta_k$$
.

We call these estimates "preliminary" because we make some fairly small adjustments to them in the next step to derive our "final" estimates. The variance-covariance matrix for our preliminary shrinkage estimates is:

(34)
$$U = \sum_{k=1}^{5,376,000} p_k U_k + \sum_{k=1}^{5,376,000} p_k (\theta_k - \theta)(\theta_k - \theta)'.$$

The first term on the right side of this expression reflects the error from sampling variability and the lack of fit of the regression model. The second term captures how the shrinkage estimates vary as σ_1 , σ_2 , ρ , η_1 , η_2 , and η_{12} vary. Thus, the second term accounts for the variability from not knowing and, thus, having to estimate σ_1 , σ_2 , ρ , η_1 , η_2 , and η_{12} . As described later, standard errors of the final shrinkage estimates for states are calculated as functions of the square roots of the diagonal elements of U.

Regression estimates can be similarly obtained. They are:

(35)
$$R = \sum_{k=1}^{5,376,000} p_k R_k$$
,

where $R_k = X\hat{B}_k$ is the vector of regression estimates obtained when $\sigma_1 = \sigma_{1,k}$; $\sigma_2 = \sigma_{2,k}$; $\rho = \rho_k$; $\eta_1 = \eta_{1,k}$; $\eta_2 = \eta_{2,k}$; and $\eta_{12} = \eta_{12,k}$. The variance-covariance matrix is:

(36)
$$G = \sum_{k=1}^{5,376,000} p_k G_k + \sum_{k=1}^{5,376,000} p_k (R_k - R)(R_k - R)',$$

where $G_k = X(X'(\Sigma_k + V)^{-1}X)^{-1}X' + \Sigma_k$. We can estimate the regression coefficient vector by:

(37)
$$\hat{B} = \sum_{k=1}^{5,376,000} p_k \hat{B}_k$$
.

Preliminary shrinkage estimates of SNAP participation rates are displayed in Table A.20.

4. Adjust the preliminary shrinkage estimates to obtain final shrinkage estimates of state SNAP participation rates

We adjusted the preliminary shrinkage estimates of participation rates in two ways. First, we adjusted the rates so that the number of eligible people implied by the rates sum to the national number of eligible people estimated directly from the CPS ASEC. Second, we adjusted the rates so that no state's estimated rate was greater than 100 percent. These adjustments were carried out separately for each year and for the two groups of eligible people (all eligible people and the working poor). The following description of the adjustments will focus on the FY 2013 estimates for all eligible people.

To implement the first adjustment, we calculated preliminary estimates of counts for all eligible people according to:

(38)
$$\psi_{1,i} = \frac{P_i(\varepsilon_{1,i}/100)}{(\theta_{1,i}/100)},$$

where $\psi_{1,i}$ is the preliminary count of all eligible people for state i, P_i and $\varepsilon_{1,i}$ are the participant count and correctly-eligible rate (100 minus the payment error rate) figures used in Equation (1), and $\theta_{1,i}$ is the preliminary participation rate derived in Equation (33). The state eligible people counts from Equation (38) summed to 51,491,775 for FY 2013, while the national total for FY 2013 estimated directly from the CPS ASEC was 50,611,433. To obtain estimated eligible people counts for states that sum (aside from rounding error) to the direct estimate of the national total, we multiplied each of the eligible people counts from Equation (38) by 50,611,433 \div 51,491,775 (\approx 0.9829). 13

After carrying out this first adjustment, there were sixteen instances where a state had fewer estimated eligible people than participants, implying a participation rate over 100 percent (Figure A.2). To cap participation rates at 100 percent, we increased the number of eligible people in states with preliminary estimated participation rates of over 100 percent so that the number of eligible people in that state equaled the number of participants each year. We reduced the number of eligible people in the other states and the District of Columbia by an equivalent number and in proportion to their numbers of eligible people. These adjustments, which were carried out separately for the three years and two groups, moved small numbers of eligible people among states but did not change the national totals. Moreover, except for the states with participation rates initially over 100 percent, the adjustments did not change any state's

¹³ The adjustment factors for 2011 and 2012 for all eligible people were, respectively, 0.9845, and 0.9817. The direct estimates of the national totals for all eligibles for those years were 52,160,864 and 50,708,090. The adjustment factors for 2011, 2012, and 2013 for working poor eligibles were, respectively, 0.9821, 0.9688 and 0.9866. The direct estimates of the national totals for working poor eligibles for those years were 24,185,835, 23,769,733, and 23,916,035.

participation rate by more than half of a percentage point. The rounded participation rates for some states did increase by one percentage point, however.

Figure A.2. Preliminary estimated participation rates over 100 percent

	All eligible people			Eligible working poor		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2013	
Maine	104.8	105.1	104.4			
Michigan	105.7	102.2	103.6	102.1		
Oregon	106.9	109.1	115.1		100.3	
Tennessee		100.8	101.5			
Washington		100.4	105.8			
Wisconsin			100.8			

From the final shrinkage estimates of the numbers of eligible people, we calculated final shrinkage estimates of participation rates according to:

(39)
$$\theta_{F,1,i} = 100 \frac{P_i(\varepsilon_{1,i}/100)}{\psi_{F,1,i}}$$
,

where $\theta_{F,1,i}$ is the final shrinkage estimate of the participation rate for all eligible people in state i, and $\psi_{F,1,i}$ is the final shrinkage estimate of the number of all eligible people. P_i and $\varepsilon_{l,i}$ are the participant count and correctly-eligible rate figures used in Equations (1) and (38). We derived final participation rates for eligible working poor in the same way.

In Tables III.3 to III.8 of Chapter III, we reported approximate 90-percent confidence intervals for our final shrinkage estimates for all eligible people and eligible working poor. The upper and lower bounds of the confidence intervals were calculated according to:

(40) *Upper Bound*_i =
$$F_i + 1.645 e_i$$

and:

(41) *Lower Bound*_i =
$$F_i - 1.645 e_i$$
,

where F_i is the final shrinkage estimate for state i and e_i is the standard error of that estimate. For participation rates and eligible people counts, the standard errors are, respectively:

(42)
$$e_i = \frac{1}{r} \sqrt{U(6i-1,6i-1)}$$

and

(43)
$$e_i = \frac{\psi_{F,1,i}}{\theta_{F,1,i}} r \sqrt{U(6i-1,6i-1)}$$
,

where r is the ratio used to adjust preliminary estimates of state eligible people counts to the direct estimate of the national total (\approx 0.9829) for all eligible people for FY 2013, and U(6i-1,6i-1) is the (6i-1,6i-1) diagonal element of U, which was derived according to Equation (34). Our estimate of e_i does not take account of the correlation between r and our preliminary shrinkage estimates for states, which were summed to obtain the denominator of r. Instead, r is treated as a constant.

Table A.21 presents final shrinkage estimates of participation rates for all eligible people and eligible working poor (values of $\theta_{F,1,i}$ and $\theta_{F,2,i}$), and Table A.22 presents standard errors for the rates. Tables A.23 and A.24 display final shrinkage estimates of the numbers of all eligible people and eligible working poor (values of $\psi_{F,1,i}$ and $\psi_{F,2,i}$), respectively, and Tables A.25 and A.26 present the standard errors for those estimated counts.¹⁵

¹⁴ The square root of U(6i-1,6i-1) is the standard error of the preliminary shrinkage estimate of the 2013 participation rate for all eligible people for state i. When deriving estimates for 2011 and 2012, we would use the (6i-5,6i-5) and (6i-3,6i-3) diagonal elements of U, respectively. When deriving estimates for the working poor for 2011, 2012, and 2013, we would use the (6i-4,6i-4), (6i-2,6i-2), and (6i,6i) diagonal elements of U, respectively.

¹⁵ The rates in Table A.20 are the same as the rates in Table III.1 of Chapter III, except for the number of digits displayed. Likewise, the counts in Tables A.22 and A.23 are the same as the counts in Table III.2 of Chapter III, except for the number of digits displayed.

Table A.1. Number of people receiving SNAP benefits, monthly average

	Number of p	people receiving SNAP b	penefits (<i>Pi</i>)
	2011	2012	2013
Alabama	874,520	910,244	915,322
Alaska Arizona	86,044 1,067,617	91,298 1,123,974	91,364 1,111,105
Arkansas	485,941	502,125	504,621
California	3,672,980	3,964,221	4,159,031
Colorado	453,103	491,630	
Connecticut	378,677	403,466	507,848 425,320
Delaware	134,927	148,257	425,320 153,137
District of Columbia	134,845	141,147	144,889
Florida	3,074,671	3,353,064	3,556,473
Georgia	1,778,873	1,912,839	1,948,189
Hawaii	159,644	176,823	189,350
Idaho	228,629	233,034	227,006
Illinois	1,793,568	1,869,713	2,031,217
Indiana	877,560	908,598	926,011
Iowa	373,856	408,050	420,344
Kansas	298,642	304,719	316,983
Kentucky	823,472	848,922	872,439
Louisiana	884,519	899,855	940,100
Maine	247,943	252,860	249,119
Maryland	667,738	716,379	770,922
Massachusetts	812,586	861,568	887,619
Michigan	1,928,478	1,828,384	1,775,646
Minnesota	505,919	538,869	552,928
Mississippi	621,083	654,286	668,624
Missouri	942,901	947,889	929,943
Montana	124,243	125,874	128,531
Nebraska	174,204	176,073	179,711
Nevada	332,959	354,900	360,953
New Hampshire	113,407	116,895	117,315
New Jersey	753,403	818,656	875,143
New Mexico	414,275	438,252	440,362
New York	2,999,447	3,076,423	3,168,831
North Carolina	1,574,997	1,668,588	1,703,700
North Dakota	60,672	58,796	56,523
Ohio	1,779,237	1,807,913	1,824,675
Oklahoma	614,683	614,947	621,672
Oregon	772,756	815,221	817,575
Pennsylvania	1,717,174	1,794,501	1,784,790
Rhode Island	160,201	172,846	179,925
South Carolina	844,405	869,801	875,866
South Dakota	101,817	103,846	104,052
Tennessee	1,274,159	1,316,800	1,342,089
Texas	3,977,219	4,038,386	4,041,891
Utah	283,971	276,890	251,626
Vermont	92,038	96,579	100,536
Virginia	858,782	913,878	940,932
Washington	1,054,693	1,108,090	1,113,441
West Virginia	345,955	346,833	350,485
Wisconsin	800,800	835,312	856,730
Wyoming	36,031	34,347	38,046
United States	44,570,264	46,472,861	47,550,950

Source: USDA, Food and Nutrition Service

Table A.2. Estimated percentage of participants who are correctly receiving benefits and eligible under federal SNAP rules

benefits and eng				benefits and el	igible under fe	ederal rules
	All	participants (a	Σ1 <i>,i</i>)	Working	poor participa	ants (ε _{2,i})
	2011	2012	2013	2011	2012	2013
Alabama	94.634	95.437	96.371	33.456	34.225	36.031
Alaska	99.398	99.006	99.316	41.355	41.902	43.166
Arizona	86.823	84.645	85.294	39.932	40.038	43.408
Arkansas	97.510	97.945	98.118	41.115	41.851	39.955
California	92.876	94.637	93.643	36.306	39.975	40.430
Colorado	95.690	93.565	92.985	42.338	40.844	42.209
Connecticut	87.566	84.754	84.511	26.663	29.711	31.997
Delaware	84.016	82.330	82.383	33.073	32.774	33.857
District of Columbia	94.923	92.341	91.018	12.386	14.039	19.586
Florida	93.369	92.299	91.865	30.633	36.299	34.332
Georgia	94.940	94.113	92.154	42.148	38.325	37.380
Hawaii	90.510	88.041	89.556	39.252	39.066	43.588
Idaho	93.694	93.155	92.749	50.824	50.286	54.184
Illinois	92.648	92.001	91.495	35.700	33.261	34.734
Indiana	98.615	98.514	98.494	42.670	43.526	42.693
Iowa	90.558	84.452	84.112	44.649	41.245	47.122
Kansas	98.215	97.053	98.052	49.392	47.464	49.162
Kentucky	95.871	93.798	93.613	30.631	31.781	34.330
Louisiana	94.839	95.707	96.349	39.548	36.651	38.332
Maine	83.746	84.090	83.954	33.009	31.514	31.328
Maryland	85.195	87.851	86.866	27.638	31.525	33.154
Massachusetts	87.360	86.476	87.622	24.377	20.997	23.899
Michigan	87.387	85.868	87.237	39.328	35.280	35.689
Minnesota	86.303	81.126	82.390	35.188	36.908	36.754
Mississippi	95.613	95.885	96.211	37.921	37.916	37.635
Missouri	98.163	97.613	98.468	37.673	44.218	38.439
Montana	89.837	86.640	89.786	39.654	36.197	44.902
Nebraska	97.994	91.872	93.848	46.483	47.147	47.275
Nevada	85.403	82.302	84.112	34.075	32.085	34.913
New Hampshire	81.030	82.110	82.995	28.800	31.677	31.683
New Jersey	91.110	89.279	89.491	35.317	35.135	37.599
New Mexico	92.170	90.225	91.459	44.999	40.765	44.018
New York	91.391	90.793	90.836	32.035	35.635	35.488
North Carolina	87.438	88.273	88.549	31.355	35.375	40.360
North Dakota	80.148	78.124	79.412	38.065	33.288	37.166
Ohio	92.701	92.318	90.179	33.039	34.359	33.515
Oklahoma	94.879	94.718	95.415	41.516	41.566	39.949
Oregon	81.016	77.433	79.980	34.015	32.505	30.864
Pennsylvania	90.136	86.907	89.033	32.790	26.497	29.935
Rhode Island	85.971	82.184	85.021	29.029	24.683	25.729
South Carolina	94.259	93.738	94.132	37.431	35.103	36.071
South Dakota	97.098	97.840	98.565	47.833	48.048	52.410
Tennessee	96.525	98.391	99.323	33.592	37.342	33.333
Texas	89.261	88.694	90.101	44.141	45.939	44.797
Utah	97.660	99.016	98.396	50.637	51.318	48.530
Vermont	76.875	75.373	76.491	23.778	27.622	30.956
Virginia	98.091	99.325	99.641	40.944	42.414	41.441
Washington	80.166	75.585	76.732	28.780	26.725	26.534
West Virginia	92.357	90.396	90.198	34.475	31.501	29.181
Wisconsin	81.221	81.556	81.886	35.204	35.595	39.846
Wyoming	94.963	97.317	98.035	45.169	47.455	44.028
	9 7 .900	01.011	50.000	TU. 108	-1. -1 00	77.020

Source: SNAP QC data

Table A.3. Estimated number of participants who are correctly receiving benefits and income eligible under federal SNAP rules, monthly average

	Participants correctl	y receiving benefits and eligib	le under federal rules
-	2011	2012	2013
Alahama			
Alabama	827,593	868,710	882,105
Alaska	85,526	90,390	90,739
Arizona	926,937	951,388	947,706
Arkansas	473,841	491,806	495,124
California	3,411,317	3,751,620	3,894,641
Colorado	433,574	459,994	472,222
Connecticut	331,592	341,954	359,442
Delaware	113,360	122,060	126,159
District of Columbia	127,999	130,337	131,875
Florida	2,870,790	3,094,845	3,267,154
Georgia	1,688,862	1,800,230	1,795,334
Hawaii	144,494	155,677	169,574
Idaho	214,212	217,080	210,546
Illinois	1,661,687	1,720,155	1,858,462
Indiana	865,397	895,096	912,065
Iowa	338,557	344,602	353,560
Kansas	293,311	295,739	310,808
Kentucky	789,471	796,272	816,716
Louisiana	838,869	861,224	905,777
Maine	207,642	212,627	209,145
	·	,	
Maryland	568,879	629,346	669,669
Massachusetts	709,875	745,050	777,750
Michigan	1,685,239	1,569,997	1,549,020
Minnesota	436,623	437,163	455,557
Mississippi	593,830	627,362	643,290
Missouri	925,580	925,263	915,696
Montana	111,616	109,057	115,403
Nebraska	170,709	161,762	168,655
Nevada	284,354	292,090	303,605
New Hampshire	91,894	95,982	97,366
New Jersey	686,418	730,888	783,174
New Mexico	381,837	395,413	402,751
New York	2,741,225	2,793,177	2,878,439
North Carolina	1,377,146	1,472,913	1,508,609
North Dakota	48,627	45,934	44,885
Ohio	1,649,370	1,669,029	1,645,474
Oklahoma	583,199	582,466	593,168
Oregon	626,056	631,250	653,896
Pennsylvania	1,547,792	1,559,547	1,589,052
Rhode Island	137,726	142,052	152,974
South Carolina	795,928	815,334	824,470
South Dakota	98,862	101,602	102,559
Tennessee	1,229,882	1,295,613	1,333,003
Texas	3,550,105	3,581,806	3,641,784
Utah	277,326	274,165	247,590
Vermont	70,754	72,794	76,901
Virginia	842,388	907,709	937,554
Washington	845,505	837,550	854,366
West Virginia	319,514	313,523	316,130
Wisconsin			
Wyoming	650,418 34,216	681,247 33,425	701,542 37,298
United States	40,717,926	42,132,313	43,230,788
Office Otates	70,717,020	72,102,010	70,200,700

Table A.4. Estimated number of working poor who are correctly receiving benefits and eligible under federal SNAP rules, monthly average

	Working poor correctly	receiving benefits and elig	ible under federal rules
	2011	2012	2013
Alabama	292,579	311,522	329,791
Alaska	35,583	38,256	39,438
Arizona	426,321	450,017	482,308
Arkansas	199,795	210,144	201,621
California	1,333,475	1,584,697	1,681,496
Colorado			
	191,835	200,801	214,358
Connecticut	100,967	119,870	136,090
Delaware	44,624	48,590	51,848
District of Columbia	16,702	19,816	28,378
Florida	941,864	1,217,129	1,221,008
Georgia	749,759	733,096	728,233
Hawaii	62,663	69,078	82,534
Idaho	116,198	117,183	123,001
Illinois	640,304	621,885	705,523
Indiana	374,455	395,476	395,342
lowa	166,923	168,300	198,075
Kansas	147,505	144,632	155,835
Kentucky	252,238	269,796	299,508
Louisiana		329,806	· · · · · · · · · · · · · · · · · · ·
	349,810		360,359
Maine	81,844	79,686	78,044
Maryland	184,543	225,838	255,591
Massachusetts	198,084	180,903	212,132
Michigan	758,432	645,054	633,710
Minnesota	178,023	198,886	203,223
Mississippi	235,521	248,079	251,637
Missouri	355,219	419,138	357,461
Montana	49,267	45,561	57,713
Nebraska	80,975	83,013	84,958
Nevada	113,456	113,870	126,020
New Hampshire	32,661	37,029	37,169
New Jersey	266,079	287,635	329,045
New Mexico	186,420	178,653	193,839
New York			
	960,873	1,096,283	1,124,555
North Carolina	493,840	590,263	687,613
North Dakota	23,095	19,572	21,007
Ohio	587,842	621,181	611,540
Oklahoma	255,192	255,609	248,352
Oregon	262,853	264,988	252,336
Pennsylvania	563,061	475,489	534,277
Rhode Island	46,505	42,664	46,293
South Carolina	316,069	305,326	315,934
South Dakota	48,702	49,895	54,534
Tennessee	428,015	491,719	447,359
Texas	1,755,584	1,855,194	1,810,605
Utah	143,794	142,094	122,114
Vermont	21,885	26,677	31,122
Virginia	351,620	387,612	389,932
Washington	303,541	296,137	295,440
	•		
West Virginia	119,268	109,252	102,275
Wisconsin	281,914	297,329	341,373
Wyoming	16,275	16,299	16,751
United States	16,144,052	17,137,024	17,708,698

Table A.5. Estimated percentage of people eligible for SNAP

Allabama		Percentage of people eligible for SNAP					
Alabama 20.507 21.145 20.555 8.093 8.656 8.531 Alaska 16.302 15.098 14.835 8.047 7.301 7.845 Alaska 16.302 15.098 14.835 8.047 7.301 7.845 Alaska 16.302 15.098 14.835 8.047 7.301 7.845 Alazka 19.165 18.272 19.181 10.018 8.786 9.610 Arkansas 22.646 23.583 22.326 8.897 10.844 9.369 California 16.794 15.6651 15.288 8.886 8.480 8.457 Colorado 12.722 12.533 10.697 6.571 6.172 5.182 Connecticut 11.088 11.008 10.735 4.474 4.666 8.440 8.457 Colorado 12.722 12.533 10.697 6.571 6.172 5.182 Connecticut 11.088 11.008 10.735 4.474 4.666 8.933 6.638 10.810 10.735 14.748 6.834 6.893 6.638 10.810 10.735 14.748 6.834 6.893 6.638 10.810 10.735 14.748 6.834 6.893 6.638 10.810 10.810 10.735 14.748 6.834 6.893 6.638 10.810 10.810 10.810 10.735 14.748 6.834 6.893 6.638 10.810 11.700 12.571 12.406 6.398 6.933 7.08 10.810 10.8		All el	igible people	(E _{1,i})	Wo	rking poor (<i>E</i>	2, <i>i</i>)
Alaska 16.302 15.098 14.835 8.047 7.301 7.845 Arizona 19.165 18.272 19.181 10.018 8.786 9.610 Arkansas 22.646 23.583 22.326 8.897 10.844 9.369 California 16.794 15.651 15.288 8.868 8.868 8.868 8.860 8.480 8.481 Colorado 12.722 12.533 10.697 6.571 6.172 5.182 Connecticut 11.088 11.008 10.735 4.474 4.666 4.617 Delaware 14.367 15.037 14.748 6.834 6.833 6.633 6.633 6.633 6.933 7.108 Florida 18.06 17.953 18.168 7.735 8.776 8.714 Georgia 20.792 19.739 19.489 9.523 9.557 9.422 Hawaii 17.620 18.705 17.333 10.333 10.356 9.259 I		2011	2012	2013	2011	2012	2013
Arkansas 22.646 23.583 22.326 8.897 10.844 9.369 California 16.794 15.651 15.288 8.886 8.480 8.457 Colorado 12.722 12.533 10.697 6.571 6.712 5.182 Colorado 12.722 12.533 10.697 6.571 6.712 5.182 Connecticut 11.088 11.008 10.735 4.474 4.866 4.617 Delaware 14.367 15.037 14.748 6.834 6.893 6.638 District of Columbia 21.770 21.571 21.406 6.398 6.933 7.108 Florida 18.076 17.953 18.168 7.735 8.776 8.714 Coorgia 20.792 19.739 19.489 9.523 9.557 9.422 Hawaii 17.620 18.705 17.393 10.383 10.356 9.259 Idaho 16.814 16.911 15.334 9.243 9.797 8.495 Illinois 16.135 14.449 14.679 7.938 6.940 6.889 Indiana 17.566 16.335 14.933 7.615 7.142 6.284 Illinois 16.135 14.449 11.6335 14.933 7.615 7.142 6.284 Illinois 16.135 14.449 11.6335 14.933 7.615 7.142 6.284 Illinois 16.814 16.911 15.334 9.243 9.797 8.495 Illinois 16.135 14.449 11.6335 14.933 7.615 7.142 6.284 Illinois 16.814 16.911 15.334 9.243 9.797 8.495 Illinois 16.135 14.449 11.6335 14.933 7.615 7.142 6.284 Illinois 16.135 14.449 11.6335 14.933 7.615 7.142 6.284 Illinois 16.814 16.911 16.911 16.	Alabama	20.507	21.145	20.555	8.093	8.656	8.531
Arkansas 22.646 23.583 22.326 8.897 10.844 9.369 California 16.794 15.651 15.288 8.886 8.480 8.487 Colorado 12.722 12.533 10.697 6.571 6.172 5.182 Connecticut 11.088 11.008 10.735 4.474 4.666 4.617 Delaware 14.367 15.037 14.748 6.834 6.893 6.638 Polavare 18.076 17.953 18.168 7.735 8.776 8.714 Georgia 20.792 19.739 19.489 9.523 9.557 9.422 Hawaii 17.620 18.705 17.393 10.383 10.356 9.940 8.89 Ildaho 16.814 16.911 15.334 9.243 9.797 8.495 Illinois 16.351 14.449 14.679 7.938 6.940 6.889 Ildivision 16.535 14.449 14.679 7.938 6.940	Alaska						
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,	Wyoming	11.489	10.340	11.501	5.551	5.187	5.738

Table A.6. Directly estimated number of people eligible for SNAP

Table A.o. Directly estimated number of people engine for Start					
		of people eligible for SN			
	2011	2012	2013		
Alabama	972,421	1,015,729	981,928		
Alaska	115,448	106,659	104,217		
Arizona	1,264,256	1,210,233	1,268,501		
Arkansas	657,148	686,909	649,043		
California	6,303,072	5,931,296	5,829,226		
Colorado	640,307	642,599	563,017		
Connecticut Delaware District of Columbia Florida	389,445	387,485	382,635		
	128,920	135,638	133,819		
	134,259	135,823	138,567		
	3,414,734	3,436,372	3,518,684		
Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine	2,020,987	1,909,689	1,905,598		
	232,412	252,900	235,802		
	262,888	268,168	245,020		
	2,058,571	1,839,359	1,873,264		
	1,116,223	1,037,147	961,722		
	366,255	328,895	356,120		
	433,963	447,356	418,188		
	918,445	892,228	995,727		
	1,126,309	1,055,526	1,040,221		
	188,666	183,600	188,058		
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	716,492	699,271	731,005		
	824,811	820,741	872,856		
	1,608,180	1,489,101	1,430,245		
	569,641	543,963	546,664		
	681,567	712,048	778,265		
	1,005,976	935,977	926,776		
	170,389	155,435	147,083		
	201,552	197,455	200,522		
	451,408	451,218	481,848		
	108,475	104,753	120,258		
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	1,043,405	991,328	1,099,202		
	432,769	434,005	471,560		
	3,479,975	3,512,735	3,359,821		
	1,844,403	1,882,729	1,855,117		
	64,076	66,903	70,794		
	1,904,101	1,897,534	1,822,939		
	665,988	722,351	739,204		
	552,501	569,083	583,824		
	1,861,471	1,828,171	1,818,224		
	157,157	154,748	145,756		
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming United States	1,047,005	948,267	974,236		
	123,765	106,338	93,996		
	1,275,561	1,294,464	1,339,440		
	5,127,669	4,910,797	4,824,320		
	339,143	329,279	324,873		
	76,778	68,762	66,703		
	1,036,696	1,026,908	1,035,271		
	848,452	829,701	821,920		
	405,253	364,376	396,144		
	727,536	696,626	676,367		
	63,936	59,408	66,843		
	52,160,862	50,708,087	50,611,430		

Table A.7. Directly estimated number of working poor eligible for SNAP

rable A.7. Directly estimate	Table A.7. Directly estimated humber of working poor engible for Sival						
	Number of v	working poor eligible for	or eligible for SNAP (Z_{2i})				
	2011	2012	2013				
Alabama	383,744	415,812	407,505				
Alaska	56,988	51,574	55,111				
Arizona	660,855	581,928	635,553				
Arkansas	258,181	315,848	272,374				
California	3,334,897	3,213,516	3,224,626				
Colorado	330,715	316,431	272,746				
Connecticut	157,151	164,261	164,562				
Delaware	61,322	62,177	60,235				
District of Columbia	39,458	43,651	46,013				
Florida	1,461,235	1,679,745	1,687,743				
Georgia	925,673	924,600	921,253				
Hawaii	136,952	140,012	125,531				
Idaho	144,516	155,358	135,737				
Illinois	1,012,757	883,537	879,066				
Indiana	483,898	453,427	404,693				
lowa	184,723	157,643	178,636				
Kansas	226,931	242,763	225,157				
Kentucky	436,028	380,144	444,821				
Louisiana	471,862	494,574	439,958				
Maine	75,232	73,124	75,135				
Maryland	327,202	307,606	314,812				
Massachusetts	277,204	287,180	334,593				
Michigan	648,134	645,373	633,751				
Minnesota	239,786	244,685	290,191				
Mississippi	290,322	272,952	284,131				
Missouri	432,275	428,169	445,487				
Montana	76,959	72,959	68,347				
Nebraska	113,204	116,666	106,683				
Nevada	211,497	230,953	251,845				
New Hampshire	44,555	38,900	47,873				
New Jersey	403,004	424,089	492,195				
New Mexico	192,445	204,124	233,912				
New York	1,462,276	1,512,477	1,463,567				
North Carolina	857,996	853,320	869,581				
North Dakota	30,525	29,646	29,154				
Ohio	829,410	798,671	758,958				
Oklahoma	331,831	347,191	351,816				
Oregon	273,803	275,010	249,093				
Pennsylvania	740,936	660,183	696,437				
Rhode Island	59,220	59,214	54,376				
South Carolina	431,839	350,638	395,685				
South Dakota	62,653	51,203	43,472				
Tennessee	552,835	624,740	625,710				
Texas	2,793,820	2,642,196	2,718,975				
Utah	206,044	197,570	194,344				
Vermont	33,026	31,969	31,278				
Virginia	476,941	442,101	421,709				
Washington	417,961	389,036	382,555				
West Virginia	147,691	120,729	131,995				
Wisconsin	346,431	330,253	303,705				
Wyoming	30,891	29,798	33,348				
United States	24,185,834	23,769,732	23,916,034				

Table A.8. CPS ASEC population estimate

Table A.G. of 3 A3E6 popul	ation estimate		
	CPS A	SEC population estimat	e (<i>Ni</i>)
	2011	2012	2013
Alabama	4,741,902	4,803,704	4,777,017
Alaska	708,197	706,440	702,507
Arizona	6,596,667	6,623,412	6,613,354
Arkansas	2,901,802	2,912,757	2,907,079
California	37,531,572	37,897,121	38,128,514
Colorado	5,033,282	5,127,162	5,263,441
Connecticut	3,512,264	3,520,103	3,564,415
Delaware	897,332	902,019	907,398
District of Columbia	616,721	629,643	647,319
Florida	18,891,459	19,140,853	19,367,703
Georgia	9,720,036	9,674,777	9,777,816
Hawaii	1,319,019	1,352,026	1,355,709
Idaho	1,563,505	1,585,753	1,597,851
Illinois	12,758,133	12,730,385	12,761,371
Indiana	6,354,345	6,349,080	6,440,439
lowa	3,015,696	3,018,182	3,061,345
Kansas	2,799,968	2,829,856	2,821,986
Kentucky	4,305,702	4,342,748	4,388,459
Louisiana	4,487,373	4,476,383	4,515,835
Maine	1,318,457	1,331,299	1,320,351
Maryland	5,790,412	5,877,520	5,930,569
Massachusetts	6,546,509	6,561,957	6,629,472
Michigan	9,719,920	9,731,575	9,854,616
Minnesota	5,260,492	5,338,997	5,375,214
Mississippi	2,932,737	2,904,980	2,901,561
Missouri	5,918,064	5,947,005	5,967,901
Montana	982,483	997,070	995,033
Nebraska	1,815,826	1,843,997	1,851,573
Nevada	2,673,123	2,725,819	2,760,252
New Hampshire	1,301,272	1,307,824	1,316,376
New Jersey	8,656,871	8,706,905	8,786,870
New Mexico	2,032,683	2,060,285	2,077,848
New York	19,327,668	19,331,573	19,435,425
North Carolina	9,440,960	9,616,386	9,652,668
North Dakota	665,142	688,902	709,265
Ohio	11,326,907	11,388,338	11,412,994
Oklahoma	3,743,869	3,727,413	3,710,579
Oregon	3,836,287	3,872,014	3,939,598
Pennsylvania	12,649,964	12,698,214	12,752,570
Rhode Island	1,040,629	1,036,322	1,042,174
South Carolina	4,590,845	4,654,445	4,683,702
South Dakota	810,279	821,318	831,216
Tennessee	6,330,304	6,405,350	6,407,193
Texas	25,482,626	25,982,437	26,315,752
Utah	2,817,646	2,843,603	2,883,498
Vermont	617,878	615,485	619,860
Virginia	7,924,694	8,002,173	8,155,798
Washington	6,793,763	6,858,989	6,867,718
West Virginia	1,820,882	1,810,929	1,818,023
Wisconsin	5,667,177	5,657,860	5,643,489
Wyoming	556,507	574,542	581,205
United States	308,147,849	310,543,926	312,829,918

Table A.9. Population on July 1

Table A. 7. Topulation on Se					
		Population on July 1(Ti)			
	2011	2012	2013		
Alabama	4,801,627	4,817,528	4,833,722		
Alaska	723,375	730,307	735,132		
Arizona	6,468,796	6,551,149	6,626,624		
Arkansas	2,938,506	2,949,828	2,959,373		
California	37,668,681	37,999,878	38,332,521		
Colorado	5,118,400	5,189,458	5,268,367		
Connecticut	3,588,948	3,591,765	3,596,080		
Delaware	907,985	917,053	925,749		
District of Columbia	619,624	633,427	646,449		
Florida	19,083,482	19,320,749	19,552,860		
Georgia	9,810,181	9,915,646	9,992,167		
Hawaii	1,376,897	1,390,090	1,404,054		
Idaho	1,583,930	1,595,590	1,612,136		
Illinois	12,855,970	12,868,192	12,882,135		
Indiana	6,516,336	6,537,782	6,570,902		
lowa	3,064,102	3,075,039	3,090,416		
Kansas	2,869,548	2,885,398	2,893,957		
Kentucky	4,366,869	4,379,730	4,395,295		
Louisiana	4,575,197	4,602,134	4,625,470		
Maine	1,327,844	1,328,501	1,328,302		
Maryland	5,840,241	5,884,868	5,928,814		
Massachusetts	6,606,285	6,645,303	6,692,824		
Michigan	9,874,589	9,882,519	9,895,622		
Minnesota	5,347,108	5,379,646	5,420,380		
Mississippi	2,977,886	2,986,450	2,991,207		
Missouri	6,010,065	6,024,522	6,044,171		
Montana	997,600	1,005,494	1,015,165		
Nebraska	1,841,749	1,855,350	1,868,516		
Nevada	2,717,951	2,754,354	2,790,136		
New Hampshire	1,318,075	1,321,617	1,323,459		
·					
New Jersey New Mexico	8,836,639	8,867,749	8,899,339		
	2,077,919	2,083,540	2,085,287		
New York	19,502,728	19,576,125	19,651,127		
North Carolina	9,651,377	9,748,364	9,848,060		
North Dakota	684,867	701,345	723,393		
Ohio	11,549,772	11,553,031	11,570,808		
Oklahoma	3,785,534	3,815,780	3,850,568		
Oregon	3,867,937	3,899,801	3,930,065		
Pennsylvania	12,741,310	12,764,475	12,773,801		
Rhode Island	1,050,350	1,050,304	1,051,511		
South Carolina	4,673,509	4,723,417	4,774,839		
South Dakota	823,772	834,047	844,877		
Tennessee	6,398,361	6,454,914	6,495,978		
Texas	25,640,909	26,060,796	26,448,193		
Utah	2,814,784	2,854,871	2,900,872		
Vermont	626,320	625,953	626,630		
Virginia	8,105,850	8,186,628	8,260,405		
Washington	6,821,481	6,895,318	6,971,406		
West Virginia	1,855,184	1,856,680	1,854,304		
Wisconsin	5,708,785	5,724,554	5,742,713		
Wyoming	567,329	576,626	582,658		
United States	311,582,564	313,873,685	316,128,839		

Source: U.S. Census Bureau, Population Division

Table A.10. Percentage of working poor participants without reported earned income but with other indicators of earnings

	Percentage of working poor participants without reported earned income			
	2011	2012	2013	
Alabama	0.0	0.0	0.0	
Alaska	0.0	0.0	0.0	
Arizona	0.0	0.1	0.0	
Arkansas	2.0	1.7	0.4	
California	0.3	0.0	0.0	
Colorado	0.0	0.0	0.0	
Connecticut	1.4	2.1	2.3	
Delaware	0.0	0.0	0.0	
District of Columbia	0.0	3.4	1.0	
Florida	0.8	0.0	0.0	
Georgia	0.0	0.0	0.0	
Hawaii	0.0	0.0	0.2	
Idaho	0.0	0.0	0.0	
Illinois	0.0	0.0	0.0	
	0.0		0.0	
Indiana		0.0		
lowa	0.0	0.0	0.3	
Kansas	0.0	0.0	0.0	
Kentucky	0.0	0.0	0.0	
Louisiana	0.0	0.0	0.0	
Maine	0.0	0.0	0.0	
Maryland	0.3	0.0	0.4	
Massachusetts	0.0	1.0	0.0	
Michigan	0.0	0.0	0.9	
Minnesota	1.5	1.5	4.7	
Mississippi	0.1	0.0	0.0	
Missouri	0.4	0.9	0.3	
Montana	0.0	0.0	0.2	
Nebraska	0.0	0.3	0.0	
Nevada	0.0	0.0	0.0	
New Hampshire	0.8	0.0	0.0	
New Jersey	0.0	0.0	0.0	
New Mexico	0.2	0.2	0.0	
New York	0.0	0.0	0.0	
North Carolina	0.0	0.0	0.0	
North Dakota	0.0	0.0	0.0	
Ohio	0.0	0.0	0.0	
Oklahoma	0.0	0.0	0.0	
•	0.0	0.0	0.2	
Oregon Pennsylvania	1.9	1.5	0.8	
Rhode Island	0.8	1.1	1.4	
South Carolina	0.0	0.3	0.0	
South Dakota	0.0	0.4	0.0	
Tennessee	0.0	0.4	0.0	
Texas	0.0	0.0	0.0	
Utah	0.0	0.0	0.3	
Vermont	0.0	0.6	0.0	
Virginia	0.0	0.0	0.0	
Washington	0.0	0.0	0.0	
West Virginia	0.0	0.0	0.0	
Wisconsin	0.0	0.3	0.4	
Wyoming	0.0	0.0	0.0	

Table A.11. Direct sample estimates of SNAP participation rates

	Direct sample estimates of SNAP participation rates (percent)					
	-				<u> </u>	
	All e	eligible people	(Y _{1,i})	W	orking poor (Y _{2,i})
	2011	2012	2013	2011	2012	2013
Alabama	84.048	85.280	88.780	75.296	74.705	79.981
Alaska	72.527	81.978	83.203	61.131	71.753	68.386
Arizona	74.768	79.479	74.561	65.786	78.185	75.737
Arkansas	71.205	70.697	74.937	76.419	65.697	72.716
California	53.925	63.080	66.457	39.840	49.180	51.868
Colorado	66.587	70.724	83.795	57.041	62.696	78.518
Connecticut	83.326	86.488	93.112	62.876	71.520	81.970
Delaware	86.899	88.514	92.406	71.917	76.867	84.369
District of Columbia	94.891	95.387	95.298	42.129	45.124	61.757
Florida	83.225	89.223	91.972	63.808	71.784	71.660
Georgia	82.798	91.978	92.193	80.252	77.362	77.352
Hawaii	59.558	59.871	69.438	43.833	47.985	63.484
Idaho	80.433	80.451	85.169	79.369	74.963	89.814
Illinois	80.107	92.518	98.280	62.743	69.632	79.506
Indiana	75.602	83.813	92.953	75.459	84.701	95.750
Iowa	90.977	102.839	98.347	88.937	104.786	109.839
Kansas	65.950	64.835	72.474	63.424	58.431	67.490
Kentucky	84.753	88.492	81.894	57.038	70.373	67.227
Louisiana	73.049	79.362	85.011	72.710	64.862	79.967
Maine	109.281	116.055	110.548	108.020	109.203	103.250
Maryland	78.720	89.888	91.637	55.920	73.327	81.212
Massachusetts	85.286	89.639	88.260	70.813	62.203	62.800
Michigan	103.150	103.822	107.855	115.184	98.423	99.580
Minnesota	75.407	79.759	82.639	73.039	80.668	69.448
Mississippi	85.807	85.703	80.180	79.894	88.407	85.909
Missouri	90.600	97.583	97.558	80.917	96.631	79.227
Montana	64.514	69.575	76.905	63.048	61.925	82.767
Nebraska	83.505	81.422	83.345	70.523	70.719	78.915
Nevada	61.954	64.063	62.334	52.760	48.793	49.502
New Hampshire	83.634	90.672	80.531	72.372	94.196	77.225
New Jersey	64.448	72.391	70.349	64.681	66.594	66.008
New Mexico	86.311	90.091	85.103	94.759	86.544	82.572
New York	78.064	78.522	84.732	65.120	71.577	75.993
North Carolina	73.039	77.173	79.708	56.302	68.236	77.505
North Dakota	73.705	67.439	62.165	73.479	64.847	70.649
Ohio	84.951	86.704	89.033	69.507	76.669	79.476
Oklahoma	86.606	78.768	77.327	76.057	71.916	68.024
Oregon	112.386	110.134	112.274	95.216	95.669	101.547
Pennsylvania	82.553	84.864	87.251	75.448	71.650	76.588
Rhode Island	86.825	90.574	104.020	77.803	71.090	84.377
South Carolina	74.675	84.726	83.012	71.897	85.805	78.321
South Dakota	78.570	94.089	107.346	76.461	95.960	123.416
Tennessee	95.393	99.320	98.160	76.598	78.104	70.518
Texas	68.807	72.718	75.110	62.450	70.104	66.259
Utah	81.856	82.934	75.755	69.859	70.003	62.458
Vermont		62.93 4 104.094	75.755 114.043		82.053	
	90.912			65.373		98.426
Virginia Washington	79.441	86.400 100.415	89.414	72.076	85.700 75.721	91.293
Washington	99.248	100.415	102.401	72.329 70.361	75.721	76.079
West Virginia	77.385	83.924	78.240	79.261	88.266	75.968
Wisconsin	88.748 52.405	96.653 56.061	101.930	80.783 51.691	88.983 54.501	110.460
Wyoming	52.495	56.061	55.661	51.681	54.501	50.106

Table A.12. Standard errors of direct sample estimates of SNAP participation rates

	Standard errors of direct sample estimates of SNAP participation rates						
	All eligible people			Working poor			
	2011	2012	2013	2011	2012	2013	
Alabama	5.984	6.179	4.189	9.762	10.934	7.062	
Alaska	5.682	6.043	5.817	7.119	7.987	7.478	
Arizona	4.927	4.805	7.003	8.074	8.070	12.905	
Arkansas	4.188	5.396	5.701	7.321	6.157	8.006	
California	1.195	1.551	1.610	2.333	2.701	2.781	
Colorado	4.254	5.065	6.994	5.404	6.935	9.338	
Connecticut	5.489	5.562	5.343	7.649	8.029	8.399	
Delaware	5.418	5.798	5.179	7.713	8.634	9.210	
District of Columbia	5.262	5.084	4.875	7.172	7.064	8.758	
Florida	2.812	2.830	3.025	5.441	5.273	5.656	
Georgia	3.832	4.322	3.715	6.710	6.637	6.627	
Hawaii	3.699	3.707	4.082	4.323	4.596	6.114	
Idaho	6.626	7.868	8.055	8.673	8.175	9.049	
Illinois	3.392	4.043	4.264	4.830	5.463	6.113	
Indiana	4.560	4.655	5.353	7.157	7.918	7.950	
lowa	6.181	8.834	5.205	7.155	10.331	10.384	
Kansas	4.100	4.090	3.697	4.905	4.358	5.261	
Kentucky	4.768	4.754	3.613	6.267	6.143	5.011	
Louisiana	4.014	4.951	5.754	7.750	6.793 12.435	7.176 11.480	
Maine	6.819	7.483	6.622	10.791	12.435	11.480	
Maryland	4.001	5.343	4.677	5.111	7.317	8.065	
Massachusetts	5.430	6.329	7.361	8.541	8.729	9.700	
Michigan	5.055	5.169	5.958	10.090	9.210	9.480	
Minnesota	5.648	4.941	4.386	9.112	7.770	6.617	
Mississippi	5.480	4.951	4.315	9.077	7.379	10.561	
Missouri	7.765	6.604	5.927	8.996	10.234	8.390	
Montana	5.901	7.139	7.576	7.167	8.441	9.729	
Nebraska	7.690	7.265	6.991	6.972	7.807	9.260	
Nevada	3.857	3.452	3.247	5.736	4.817	4.844	
New Hampshire	6.905	6.199	5.872	11.178	13.083	10.224	
New Jersey	3.762	4.532	3.981	7.284	8.112	7.738	
New Mexico	5.527	6.250	7.029	10.454	9.722	8.449	
New York	2.689	2.555	2.550	5.567	5.468	5.452	
North Carolina	4.393	4.823	3.389	5.116	5.858	6.810	
North Dakota	7.939	6.048	4.591	9.502	10.430	9.109	
Ohio	4.820	4.851	4.626	6.593	6.939	6.893	
Oklahoma	5.337	4.594	4.973	9.029	7.170	6.955	
Oregon	7.285	6.208	6.035	11.529	11.268	11.292	
Pennsylvania	3.658	3.854	4.660	7.133	6.868	8.034	
Rhode Island	5.245	5.887	6.231	9.146	8.521	10.075	
South Carolina	3.277	4.676	3.840	7.052	8.560	7.117	
South Dakota	10.367	9.697	14.050	10.545	9.840	13.984	
Tennessee	7.374	8.408	6.061	8.915	10.471	7.147	
Texas	2.217	2.264	2.218	3.663	3.643	3.506	
Utah	5.891	7.499	6.585	6.944	9.138	9.098	
Vermont	6.307	7.271	7.969	8.837	10.774	12.266	
Virginia	4.731	5.558	5.728	6.608	8.630	8.664	
Washington	6.147	5.788	5.717	8.170	7.151	7.713	
West Virginia	5.154	8.053	5.217	7.863	14.857	9.249	
Wisconsin	6.198	5.657	5.463	9.357	9.606	11.587	
Wyoming	4.240	5.168	3.814	5.075	5.903	6.519	

Table A.13. Potential predictors

Predictor	Data source(s)	
Number of people who received SNAP benefits	Administrative data	
Estimated population on July 1; Change in July 1 estimated population	Census Bureau	
Percentages of population that 1) received SNAP benefits, 2) correctly received regular SNAP benefits, 3) correctly received regular SNAP benefits under federal eligibility rules		
Percentage of children age 5 to 17 approved to receive free lunches under the National School Lunch Program	Administrative data; population estimates	
Percentage of elderly individuals that received Supplemental Security Income		
Percentage of population that received unemployment		
Per capita personal income	Commerce Bureau estimates; population estimates	
Mean adjusted gross income (AGI); Median AGI	Individual income ta	
Percentages of exemptions for (1) all individuals, (2) elderly individuals, and (3) children claimed on tax returns with AGI below the federal poverty level (FPL)	data	
Percentages of (1) all individuals, (2) elderly individuals, and (3) nonelderly individuals not claimed on tax returns	Individual income ta: data; population estimates	
Percentages of (1) all individuals, (2) elderly individuals, and (3) nonelderly individuals, not claimed on tax returns or claimed on returns with AGI below the FPL		
Four measures of state eligibility policy expansiveness; Four measures of state eligibility policy expansiveness in the previous year	State SNAP eligibility policies	
Percentages of population that were (1) foreign-born and entered the U.S. in 2000 or later, and (2) noncitizens		
Percentage of foreign-born individuals who entered the U.S. in 2000 or later		
Percentages of households that (1) were married-couple families, (2) were nonfamily households, and (3) had one or more children under age 18	American Community Survey one-year estimates	
Percentages of households and families that had a female householder, no husband present, and related children under age18		
Percentages of adults age 25 and older who had (1) completed high school or equivalent and (2) completed a bachelor's degree		
Employment/population ratio for the civilian population age 16 to 64		
Percentages of civilian employed population age 16 and older who were (1) in service occupations and (2) private wage and salary workers		
Percentage of households that had earnings		
Percentage of occupied housing units that were owner-occupied		
Percentages of renter-occupied housing units that spent (1) 30 percent or more and (2) 50 percent or more of household income on rent and utilities		
Lower rent quartile among renter-occupied housing units paying cash rent		
Median monthly housing costs among occupied housing units with cost		
Median household income; Median family income		
Percentages of population with income under (1) 100 percent and (2) 200 percent of the FPL		
Percentages of children with income under (1) 50 percent and (2) 100 percent of the FPL		
Percentages of adults age 18 to 64 under (1) 100 percent and (2) 125 percent of the FPL		
Percentage of adults age 65 and older under (1) 125 percent and 200 percent of the FPL		
Percentage of families with income under 130 percent of the FPL		

Table A.14. Definitions and data sources for predictors in current model

Predictor	Definition	Principal data source		
SNAP prevalence rate (adjusted for disasters and errors)	Individuals correctly receiving SNAP 100 x <u>benefits under regular program rules</u> Resident population ^a	Counts of people receiving SNAP benefits are from SNAP Program Operations and Quality Control data.		
Rate of children with income under 50 percent of poverty	Children age 18 and under with income 100 x <u>under 50 percent of the poverty level</u> Total children age 18 and under			
Owner-occupied housing rate	100 x Owner-occupied housing units Total occupied housing units	The data for constructing these predictors were obtained from the		
Private sector employment rate	Individuals age 16 and over 100 x employed in private sector Total civilian employed individuals age 16 and older	American Community Survey One- Year Estimates available at http://factfinder2.census.gov/ faces/nav/jsf/pages/index .xhtml.		
Service occupation employment rate	Individuals age 16 and over 100 x <u>employed in service occupations</u> Total civilian employed individuals age 16 and older			
Free lunch rate	Children approved to receive free 100 x Children approved to receive free 100 pulational School Lunch 100 pulation age 5 to 17a Resident population age 5	Counts of children approved to receive a free lunch under the NSLP are from Program Operations data.		
Tax return-based elderly combined poverty and nonfilter rate	Individuals age 65 and older not claimed on tax returns or claimed 100 x on tax returns with adjusted gross income under the federal poverty level Resident population age 65 and oldera	Counts of individuals age 65 and older not claimed on tax returns or claimed on tax returns with AGI under the FPL are from individual income tax data provided by the Census Bureau Small Area Estimates Branch		

^a Estimates of the resident population are from the July 1 population estimates released in June 2015, available at http://www.census.gov/popest/.

Table A.15. Values for 2011 predictors

	Values for 2011 predictors							
	SNAP prevalence rate (adjusted)	Elderly combined poverty and nonfilter rate	Free school lunch rate	Service sector employment rate	Private sector employment rate	Owner- occupied housing rate	Child 50 percent of poverty rate	
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida	17.803 11.823 16.212 16.125 9.705 8.739 10.270 14.652 21.680 16.112	52.239 28.728 47.894 52.538 46.506 37.859 38.766 36.861 44.872 47.090 48.213	47.434 33.049 40.560 47.352 45.717 31.825 25.823 45.649 67.230 45.101 48.633	17.2 16.9 20.3 17.4 19.0 17.1 17.8 18.0 15.0 21.3	77.4 66.2 78.3 76.3 76.7 78.3 79.6 81.3 67.4 80.7	69.9 63.1 63.7 66.6 54.9 64.4 67.4 71.6 41.2 66.7	12.1 6.9 12.3 12.6 9.5 8.1 6.8 8.7 16.5 10.8	
Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine	17.940 11.498 14.272 13.845 13.280 12.095 10.228 18.576 19.008 18.564	40.961 44.307 41.393 42.694 38.228 38.488 52.361 52.344 46.977	32.212 35.528 38.932 36.705 30.578 37.541 49.810 54.751 35.160	17.1 23.0 17.4 17.4 17.2 17.1 16.9 17.1 19.5 18.3	71.6 73.3 81.9 83.2 79.1 76.8 78.4 77.8 76.3	56.8 68.7 67.3 69.7 72.4 67.8 68.9 66.4 71.0	8.6 8.8 9.8 11.6 8.1 7.4 13.2 14.4 6.9	
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	11.317 12.218 19.489 9.319 20.592 15.469 12.295 9.269 12.052 8.505	37.832 40.909 40.911 36.364 56.181 45.167 41.858 39.621 41.466 36.201	29.884 28.637 38.589 28.688 58.476 33.182 30.398 31.603 40.622 19.750	17.3 17.3 18.5 16.8 17.6 18.7 20.0 16.6 28.4 15.2	71.7 80.7 82.6 81.3 74.4 80.0 72.2 77.6 82.3 77.8	67.3 62.1 71.7 72.8 69.8 68.0 67.9 66.9 56.3 71.5	7.1 7.3 11.7 6.4 15.2 10.4 8.5 8.4 9.6 6.3	
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	8.480 19.726 15.161 16.206 8.699 15.237 15.984 19.708 13.394 14.853	40.452 48.005 47.734 48.496 37.090 43.234 47.451 42.392 44.916 46.189	28.067 49.546 42.034 41.645 20.822 36.068 50.345 40.438 29.495 36.967	16.9 20.9 20.6 17.6 16.9 18.0 17.6 18.5 17.6 20.0	80.8 70.3 77.8 78.3 74.5 81.5 75.4 77.1 83.0 81.0	65.0 68.2 53.6 66.5 65.7 67.0 67.0 60.8 69.5 60.6	7.0 14.2 10.3 11.5 7.2 11.6 10.6 9.9 9.2 10.6	
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	17.844 12.196 19.241 15.392 9.935 14.289 10.404 15.246 18.095 13.977 6.031	47.664 32.587 50.323 47.317 37.352 41.097 39.813 36.611 54.177 39.930 30.377	48.239 31.943 45.881 52.803 31.244 30.396 29.436 33.727 48.053 33.353 25.461	18.6 18.2 17.0 17.8 16.3 17.7 16.9 17.8 17.9 17.2	78.1 73.6 78.3 78.3 79.0 74.3 73.9 77.0 75.9 81.6 72.0	69.2 68.5 67.3 62.9 69.4 71.3 67.3 62.8 72.3 67.9 70.6	13.1 7.7 12.0 11.1 6.1 5.4 7.1 8.0 11.7 7.9 6.2	

Table A.16. Values for 2012 predictors

	Values for 2012 predictors						
	SNAP	Elderly	Free	Service	Private	Owner-	Child 50
	prevalence	combined	school	sector	sector	occupied	percent of
	rate	poverty and	lunch	employment	employment	housing	poverty
	(adjusted)	nonfilter rate	rate	rate	rate	rate	rate
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois	18.743	52.119	48.123	16.9	77.7	68.8	13.7
	12.470	28.834	34.046	19.2	67.5	63.4	5.3
	16.784	48.096	40.806	20.2	79.0	62.6	12.5
	16.688	52.293	48.098	17.3	76.0	66.2	12.6
	10.396	46.536	46.156	19.0	77.5	54.0	10.2
	9.320	37.449	32.371	17.6	79.2	64.0	8.2
	10.946	38.128	26.659	18.1	80.3	66.9	6.9
	16.022	36.481	48.011	18.8	80.5	70.8	7.9
	22.060	44.456	68.592	15.4	69.1	41.5	15.8
	17.308	48.418	47.382	20.5	80.9	65.6	11.1
	19.035	48.793	51.732	17.2	78.9	63.7	12.8
	12.478	40.658	33.608	23.1	72.4	56.9	8.2
	14.431	43.653	36.199	18.5	76.2	68.4	7.6
	14.459	41.050	41.000	17.6	82.3	66.6	8.8
Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts	13.696 13.165 10.250 18.817 19.465 18.842 12.068 12.765	42.355 37.422 37.854 51.931 51.615 46.204 37.532 40.319	38.092 32.084 37.234 47.314 56.901 36.219 31.146 30.144	17.2 16.3 17.3 16.7 19.6 18.7	84.3 79.5 76.8 78.7 78.6 77.4 73.0 81.4	69.4 71.9 66.4 67.0 65.7 71.4 66.5 62.2	10.5 6.3 6.9 12.2 13.2 7.6 6.8 7.3
Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	12.765 18.415 9.901 21.790 15.380 12.349 9.394 12.655 8.714	40.519 40.656 35.728 55.655 44.696 41.162 38.699 41.196 34.565	30.144 41.960 29.048 58.363 37.912 31.043 33.025 41.701 21.303	17.4 19.0 16.3 18.1 18.2 19.2 17.1 28.1 16.2	83.2 82.0 75.0 81.0 71.6 77.9 82.2 78.9	71.4 68.2 67.5 67.1 66.3 54.9 70.9	7.3 11.7 6.2 16.2 10.6 7.6 7.0 10.0 6.1
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	9.133	40.162	29.885	16.8	81.0	65.1	7.6
	20.735	47.983	51.525	20.6	70.5	67.7	14.0
	15.327	47.078	43.504	20.3	78.1	53.7	10.5
	17.052	48.176	41.521	18.0	79.0	65.4	11.9
	8.266	35.863	22.686	17.2	74.2	65.0	6.2
	15.536	42.723	36.533	18.0	81.9	66.3	11.8
	15.883	47.285	51.204	18.2	76.1	66.4	10.4
	20.663	42.121	42.917	19.2	78.1	61.6	10.1
	13.959	44.331	30.867	17.7	83.6	68.9	9.0
	15.787	45.265	39.420	20.6	81.4	60.0	9.4
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	18.269	47.517	48.710	18.4	78.6	68.1	12.8
	12.324	29.216	32.743	17.4	76.1	67.1	9.0
	20.072	50.189	47.342	16.9	79.1	66.7	11.6
	15.341	47.176	61.760	18.1	78.9	62.3	10.9
	9.638	36.688	29.722	15.6	79.8	69.6	6.3
	14.926	40.156	33.333	17.1	76.2	71.0	7.0
	11.109	39.435	30.072	16.9	74.2	66.2	6.8
	15.871	36.099	35.492	18.0	77.3	62.3	8.5
	18.042	53.547	46.289	18.7	76.6	72.0	13.3
	14.423	39.311	34.284	16.8	82.3	67.3	7.9
	5.797	21.482	33.777	18.3	72.8	69.0	7.9

Table A.17. Values for 2013 predictors

	Values for 2013 predictors						
	SNAP prevalence rate (adjusted)	Elderly combined poverty and nonfilter rate	Free school lunch rate	Service sector employment rate	Private sector employment rate	Owner- occupied housing rate	Child 50 percent of poverty rate
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho	18.803 12.308 16.539 16.734 10.789 9.480 11.488 16.389 21.816 18.134 19.065 13.161 13.936	51.593 32.625 48.051 52.290 46.504 37.320 37.470 36.362 44.506 49.287 48.853 40.538 43.173	49.399 34.703 42.344 49.727 46.802 27.288 28.498 48.652 86.326 48.905 53.565 36.046 36.096	17.1 16.2 20.2 17.1 18.9 17.8 18.7 19.4 15.5 20.8 17.7 22.4 17.3	78.3 70.4 79.3 77.8 78.1 79.5 80.4 82.1 70.6 81.9 79.2 71.8 76.5	68.0 63.5 62.1 65.7 53.8 64.5 66.3 71.7 40.7 64.8 62.7 56.2 69.4	13.2 5.7 12.7 12.7 9.8 6.5 6.5 9.2 16.2 11.0 12.4 6.4 7.0 9.2
Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland	15.556 13.881 13.420 10.740 19.289 20.149 18.479	40.631 41.819 36.950 37.605 51.350 51.367 45.235 37.363	43.061 38.104 33.616 39.427 60.508 57.336 37.097 32.868	17.5 17.3 17.1 16.4 16.6 19.4 19.1	82.8 83.8 80.0 78.3 79.4 79.1 77.4	65.9 68.5 70.8 66.1 67.4 66.0 70.2	10.1 7.2 7.1 11.4 13.5 7.1 6.8
Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	13.161 17.826 10.069 22.184 15.214 12.451 9.512 12.605 8.703	39.450 40.159 35.083 55.278 44.240 40.730 37.903 43.690 35.566	31.272 42.226 30.024 58.962 38.608 30.776 33.466 42.867 22.294	18.0 18.2 17.0 18.4 18.2 19.8 16.5 27.7	81.8 83.5 82.5 76.5 81.7 72.6 79.3 82.6 79.7	61.5 70.6 71.6 67.2 67.0 66.9 66.0 54.3 70.2	8.0 11.6 5.7 16.9 9.8 8.9 7.7 9.6 5.1
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	9.751 20.685 15.820 17.114 7.734 15.488 15.905 20.266 13.783 16.548	39.885 47.716 46.516 47.932 35.238 41.996 47.268 41.482 43.445 44.723	31.148 53.076 44.423 44.460 26.014 33.896 51.373 42.299 32.854 40.604	17.3 20.9 20.5 18.4 17.0 17.9 18.0 18.6 17.6 20.2	81.6 70.1 78.5 79.4 75.6 82.7 76.8 78.5 83.9 82.9	64.0 67.9 53.7 64.3 64.8 66.1 65.5 60.8 68.9 60.4	7.6 15.1 10.4 11.6 5.3 11.0 10.9 9.4 8.8 9.2
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	18.252 12.223 20.516 15.172 8.583 15.336 11.336 15.677 18.462 14.708 6.395	47.044 32.611 49.884 47.666 36.238 39.263 38.996 36.450 53.344 38.634 35.460	49.532 33.527 49.157 54.889 30.102 33.933 30.833 36.072 48.713 35.084 25.205	19.2 17.8 17.0 17.8 15.7 17.9 17.4 17.5 18.9 17.2	78.6 75.6 78.8 79.8 79.9 76.1 75.0 78.0 76.4 82.6 70.0	68.2 67.2 66.4 61.8 69.2 71.0 65.6 61.9 72.3 67.2 69.1	13.1 7.9 12.1 10.5 5.6 7.0 6.9 7.9 13.2 7.3 6.0

Table A.18. Regression estimates of SNAP participation rates

	Regression estimates of SNAP participation rates (percent)					
	Al	l eligible peop	ole	V	Vorking poor	
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia	81.291 79.476 78.255 71.978 51.694 65.406 77.169 84.173 88.571 77.368	85.783 82.463 81.647 76.497 58.457 71.906 82.448 94.319 93.624 84.083 91.060	85.998 89.283 81.195 76.327 61.644 78.626 86.030 95.355 92.160 86.903 91.214	74.166 62.991 68.122 63.762 36.325 56.189 68.516 79.286 46.109 71.351	78.989 68.185 70.637 69.181 43.994 63.889 73.617 86.262 54.385 74.858	79.099 80.711 73.399 69.159 48.332 71.495 78.661 88.717 62.757 76.553 78.383
Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine	64.132 79.266 82.024 77.681 80.804 68.057 82.848 76.717	67.820 83.645 89.699 86.016 93.042 73.607 90.464 83.334 101.189	76.529 84.496 95.583 87.768 92.956 78.204 87.733 85.959 100.664	72.031 50.109 70.270 72.827 73.035 76.584 61.053 74.690 69.496 89.630	70.965 53.627 75.042 78.688 80.423 85.011 66.218 79.358 74.489 89.855	66.232 78.490 83.671 80.285 86.760 70.378 75.972 77.204 92.168
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	74.904 85.339 104.748 74.406 77.645 86.580 70.750 66.059 59.721 76.434	81.222 89.644 100.140 83.947 83.855 86.866 73.179 72.114 63.210 80.189	85.999 92.798 101.675 85.089 84.689 88.659 73.148 74.937 64.560 82.608	65.043 68.466 96.267 71.958 71.855 77.714 65.056 58.935 52.525 70.325	70.822 73.105 92.841 79.051 77.829 78.483 66.632 65.287 52.230 75.781	80.227 80.083 94.390 79.822 79.346 80.272 72.997 68.876 54.578 78.037
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	68.391 78.881 77.068 81.572 71.274 85.429 74.940 105.052 84.281 80.845	73.778 82.875 78.479 86.757 71.240 89.171 77.624 107.163 89.315 86.860	76.732 80.641 83.592 86.849 72.448 94.919 77.170 113.201 89.264 94.593	58.802 73.176 55.356 71.223 61.065 76.046 65.893 82.547 76.988 66.365	65.724 76.483 57.586 76.021 62.871 79.782 69.649 85.245 81.128 70.257	68.353 81.006 67.482 77.466 67.380 86.403 69.593 96.267 81.476 79.676
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	81.216 80.286 92.213 72.633 75.436 89.674 71.587 95.055 78.961 90.019 58.495	86.879 87.018 98.304 73.894 81.020 92.619 77.325 97.604 78.300 96.211 60.967	85.079 87.195 98.921 76.686 77.549 94.506 79.340 102.992 76.220 100.057 56.083	75.249 71.958 79.488 60.467 67.906 80.232 62.544 76.630 75.062 78.655 56.685	79.554 78.232 84.197 63.173 74.527 83.537 67.858 79.154 77.519 83.356 63.086	80.092 82.704 87.548 64.231 71.968 89.284 73.703 89.328 75.747 88.423 60.684

Table A.19. Standard errors of regression estimates of SNAP participation rates

	Stan	dard errors of	regression est	timates of SNAP	participation	rates
	All eligible people			Working poo	r	
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas	3.698	3.834	3.730	6.314	6.487	6.415
	4.676	4.727	4.237	7.482	7.605	7.100
	3.654	3.673	3.774	6.253	6.285	6.489
	3.747	3.814	3.789	6.399	6.442	6.509
	3.980	4.009	3.979	6.856	6.853	6.835
	3.666	3.656	3.627	6.220	6.213	6.212
	3.600	3.608	3.568	6.147	6.151	6.123
	4.381	4.219	4.592	7.318	7.129	7.843
	5.907	5.871	5.914	9.416	9.424	10.244
	3.666	3.608	3.698	6.281	6.163	6.336
	3.587	3.599	3.540	6.132	6.135	6.098
	4.178	4.147	4.364	6.926	6.894	7.361
	3.663	3.694	3.780	6.210	6.221	6.430
	3.600	3.625	3.633	6.147	6.185	6.228
	3.937	3.797	3.746	6.697	6.440	6.392
	3.592	3.777	3.634	6.101	6.371	6.223
	3.672	3.637	3.634	6.227	6.164	6.300
Kansas	3.672	3.637	3.680	6.227	6.164	6.300
Kentucky	3.679	3.679	4.090	6.295	6.221	6.865
Louisiana	3.739	3.714	3.675	6.456	6.337	6.300
Maine	4.641	4.252	4.428	7.802	7.035	7.499
Maryland	3.746	3.785	3.773	6.262	6.334	6.386
Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	3.847	3.808	3.799	6.617	6.456	6.493
	4.174	4.042	4.105	7.144	6.848	6.977
	3.790	3.797	3.844	6.377	6.401	6.568
	4.119	4.254	4.167	7.059	7.116	7.147
	3.633	3.517	3.496	6.218	6.037	6.020
	3.891	4.015	3.954	6.497	6.664	6.676
	3.653	3.631	3.706	6.195	6.169	6.336
	5.032	4.931	4.950	8.365	8.115	8.273
	3.924	3.846	3.810	6.583	6.479	6.495
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	3.758	3.755	3.724	6.419	6.395	6.362
	4.371	4.455	4.788	7.445	7.377	7.949
	3.890	3.785	3.833	6.644	6.432	6.610
	3.514	3.552	3.516	6.027	6.084	6.072
	3.979	3.923	3.766	6.649	6.593	6.428
	3.748	3.788	3.996	6.419	6.461	6.795
	3.683	3.657	3.581	6.316	6.237	6.131
	4.226	4.202	4.276	7.114	7.042	7.294
	3.784	3.732	3.710	6.436	6.348	6.354
	3.692	3.722	3.788	6.317	6.319	6.506
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	3.626	3.607	3.703	6.273	6.166	6.348
	3.927	4.154	4.024	6.524	6.765	6.768
	3.664	3.720	3.763	6.251	6.283	6.447
	3.688	4.009	3.726	6.322	6.882	6.353
	3.710	3.748	3.801	6.289	6.327	6.479
	4.258	3.794	3.818	7.158	6.376	6.494
	3.644	3.741	3.615	6.160	6.299	6.180
	3.932	3.895	3.914	6.634	6.548	6.665
	3.999	4.163	4.060	6.781	6.965	6.932
	3.708	3.756	3.727	6.328	6.369	6.377
	4.292	5.239	4.477	7.011	8.083	7.534

Table A.20. Preliminary shrinkage estimates of SNAP participation rates

	Prelir	minary shrinka	age estimates o	of SNAP participa	ation rates (pe	ercent)
	All eligible people			Working poor		
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa	82.481	86.749	87.234	73.766	78.500	78.692
	77.407	80.958	87.627	61.923	67.178	78.836
	76.580	79.821	79.265	69.927	73.142	75.631
	70.504	75.127	75.072	67.288	71.421	72.041
	53.911	61.794	64.994	39.650	47.450	51.316
	66.011	72.218	79.213	56.713	64.377	72.166
	79.795	84.640	88.485	65.649	71.135	76.300
	84.605	93.874	95.175	75.481	82.884	85.454
	90.531	95.204	93.865	42.228	50.341	59.142
	81.266	87.614	90.561	66.425	70.415	71.944
	85.355	90.580	90.573	75.216	78.230	79.597
	61.477	64.451	73.244	47.297	51.430	64.335
	78.883	83.219	83.991	74.302	78.495	82.692
	82.194	90.636	96.156	66.646	72.319	77.765
	77.214	85.361	87.466	76.979	84.486	84.639
	82.187	94.021	93.894	82.285	91.019	92.995
Kansas	65.866	70.941	75.698	60.726	64.872	69.752
Kentucky	82.787	89.579	86.442	65.949	71.910	68.730
Louisiana	74.839	82.057	84.444	69.856	73.574	77.305
Maine	103.219	103.211	102.576	93.637	93.535	95.784
Maryland	77.056	83.439	88.067	60.208	66.846	76.181
Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	85.226	89.872	92.881	65.156	69.106	76.014
	104.040	100.353	101.835	100.265	95.916	97.477
	74.009	82.884	84.728	69.906	77.512	77.158
	77.406	82.856	83.333	74.576	81.250	82.755
	88.369	88.824	90.936	77.782	79.036	79.839
	69.661	72.401	72.325	66.249	67.359	74.306
	68.679	74.422	77.296	60.906	66.943	70.918
	59.886	63.397	64.366	50.294	49.692	52.162
	77.225	81.139	83.019	70.450	76.278	78.416
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	65.398	71.631	73.866	61.088	67.361	70.227
	79.734	83.939	81.698	76.035	78.764	83.145
	77.082	78.082	83.723	62.520	65.322	74.579
	77.756	82.592	82.369	66.099	71.918	73.906
	68.345	68.237	68.769	64.995	66.447	71.495
	84.642	88.052	93.622	72.755	77.006	83.479
	76.853	78.457	78.415	65.914	69.995	69.692
	105.277	107.154	113.130	85.198	87.960	98.977
	83.477	88.224	88.440	74.724	78.486	79.052
	82.832	88.728	96.828	67.786	71.239	80.679
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	77.994	84.285	82.560	75.504	80.106	80.060
	80.277	87.097	87.212	77.963	84.522	89.292
	92.935	98.940	99.778	73.587	78.302	80.861
	70.259	72.265	74.997	63.586	66.921	67.066
	76.769	82.098	78.684	65.758	72.298	69.541
	92.183	95.446	97.418	75.285	78.865	84.685
	74.083	79.553	81.744	67.524	73.225	79.011
	96.133	98.553	103.979	71.885	74.578	84.271
	78.315	78.172	76.039	76.509	78.749	76.770
	89.245	95.506	99.084	82.099	86.810	92.421
	57.312	60.221	55.710	53.272	59.167	56.316

Table A.21. Final shrinkage estimates of SNAP participation rates

	Final shrinkage estimates of SNAP participation rates (percent)					
	A	II eligible peo	ple		Working poo	,
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas	84.020	88.575	89.184	75.157	81.030	79.764
	78.851	82.661	89.585	63.090	69.344	79.910
	78.009	81.501	81.036	71.245	75.499	76.661
	71.820	76.708	76.750	68.557	73.723	73.022
	54.917	63.094	66.446	40.397	48.980	52.015
	67.243	73.738	80.983	57.783	66.452	73.149
	81.284	86.421	90.463	66.887	73.428	77.339
	86.184	95.850	97.302	76.904	85.556	86.619
	92.220	97.207	95.962	43.024	51.963	59.948
	82.782	89.458	92.585	67.677	72.685	72.924
	86.947	92.487	92.597	76.634	80.752	80.682
	62.624	65.807	74.881	48.188	53.088	65.211
	80.354	84.970	85.868	75.703	81.025	83.818
	83.727	92.544	98.305	67.902	74.650	78.825
	78.655	87.157	89.421	78.430	87.210	85.792
	83.721	95.999	95.992	83.836	93.953	94.262
	67.095	72.433	77.389	61.870	66.964	70.702
Kentucky	84.332	91.464	88.374	67.192	74.228	69.666
Louisiana	76.235	83.784	86.331	71.173	75.945	78.358
Maine	100.000	100.000	100.000	95.402	96.550	97.089
Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	78.494	85.195	90.035	61.343	69.000	77.219
	86.816	91.763	94.957	66.384	71.334	77.050
	100.000	100.000	100.000	100.000	99.008	98.804
	75.390	84.628	86.622	71.224	80.010	78.209
	78.850	84.599	85.195	75.982	83.869	83.882
	90.017	90.693	92.968	79.249	81.584	80.927
	70.960	73.924	73.941	67.498	69.531	75.318
	69.961	75.988	79.024	62.054	69.101	71.883
	61.003	64.731	65.805	51.242	51.294	52.872
	78.666	82.846	84.875	71.778	78.738	79.485
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	66.618	73.138	75.517	62.239	69.533	71.184
	81.221	85.705	83.523	77.469	81.303	84.278
	78.520	79.726	85.595	63.699	67.427	75.595
	79.207	84.330	84.210	67.345	74.237	74.912
	69.620	69.673	70.306	66.220	68.589	72.469
	86.221	89.905	95.714	74.127	79.488	84.616
	78.287	80.108	80.167	67.156	72.251	70.641
	100.000	100.000	100.000	86.804	90.795	100.000
	85.034	90.080	90.417	76.133	81.016	80.129
	84.377	90.595	98.992	69.064	73.535	81.778
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	79.449	86.059	84.406	76.928	82.688	81.150
	81.775	88.929	89.161	79.433	87.247	90.508
	94.668	100.000	100.000	74.974	80.827	81.962
	71.570	73.786	76.674	64.785	69.078	67.980
	78.201	83.825	80.442	66.997	74.629	70.488
	93.903	97.454	99.595	76.705	81.407	85.839
	75.465	81.227	83.571	68.797	75.585	80.088
	97.926	100.000	100.000	73.240	76.982	85.419
	79.776	79.817	77.738	77.951	81.287	77.816
	90.910	97.516	100.000	83.647	89.608	93.680
	58.381	61.488	56.955	54.277	61.074	57.083

Table A.22. Standard errors of final shrinkage estimates of SNAP participation rates

	Standa	ard errors of fi	nal shrinkage e	estimates of SN	AP participati	on rates
	All eligible people			Working poo	r	
	2011	2012	2013	2011	2012	2013
Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas	2.818	3.008	2.687	4.589	4.926	4.413
	3.737	3.911	3.401	4.995	5.403	4.803
	2.777	2.804	3.016	4.530	4.662	5.009
	2.636	2.924	2.857	4.281	4.108	4.300
	1.174	1.477	1.515	2.201	2.482	2.511
	2.668	2.784	2.830	3.685	3.981	4.088
	2.877	2.933	2.858	4.238	4.347	4.278
	3.434	3.316	3.499	5.184	5.187	5.818
	4.572	4.488	4.401	6.378	6.449	7.588
	2.214	2.224	2.274	3.658	3.600	3.693
	2.402	2.536	2.358	4.004	3.977	3.857
	2.937	2.909	3.142	3.614	3.781	4.585
	3.102	3.261	3.308	4.433	4.514	4.635
	2.380	2.568	2.534	3.407	3.595	3.651
	2.919	2.832	2.856	4.638	4.589	4.443
	3.010	3.308	2.960	4.481	5.053	4.868
	2.652	2.631	2.503	3.357	3.249	3.437
Kentucky	2.914	2.859	2.855	4.108	4.066	4.048
Louisiana	2.580	2.810	2.777	4.401	4.250	4.083
Maine	3.981	3.823	3.846	6.449	6.116	6.363
Maryland	2.669	2.920	2.796	3.704	4.172	4.204
Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire	3.099	3.178	3.203	4.888	4.773	4.812
	3.306	3.181	3.301	5.757	5.388	5.363
	2.866	2.872	2.783	4.431	4.514	4.316
	3.180	3.180	2.920	5.238	5.122	5.465
	3.189	3.002	2.936	4.558	4.579	4.333
	3.162	3.406	3.314	4.355	4.749	4.764
	3.287	3.239	3.305	4.099	4.244	4.434
	3.297	3.083	2.987	4.942	4.424	4.461
	3.195	3.090	3.046	5.205	5.315	5.063
New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island	2.550	2.734	2.547	4.455	4.581	4.408
	3.513	3.687	4.091	5.927	5.769	5.857
	2.141	2.050	2.056	3.944	3.856	3.862
	2.752	2.808	2.544	3.680	3.841	4.068
	3.403	3.251	2.943	5.047	5.177	4.902
	2.792	2.871	3.005	4.102	4.301	4.538
	2.865	2.761	2.703	4.429	4.268	4.029
	3.533	3.454	3.458	5.801	5.887	5.927
	2.540	2.572	2.647	4.312	4.247	4.311
	2.914	3.016	3.061	4.707	4.704	4.864
South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming	2.256	2.533	2.489	4.247	4.362	4.293
	3.881	4.057	3.987	5.402	5.610	5.647
	3.153	3.243	3.189	4.546	4.676	4.485
	1.818	2.071	1.841	2.831	3.270	2.797
	2.993	3.145	3.132	4.165	4.503	4.539
	3.642	3.392	3.439	5.522	5.134	5.215
	2.908	3.098	2.997	4.268	4.680	4.467
	3.177	3.163	3.160	4.532	4.419	4.545
	2.992	3.379	3.072	4.864	5.564	5.144
	2.982	3.003	2.910	4.886	5.062	5.068
	3.037	4.164	3.019	3.969	5.190	4.916

Table A.23. Final shrinkage estimates of number of people eligible for SNAP

	Final shrinkage estimates of number of people eligible for SNAP				
	2011	2012	2013		
Alabama	985,000	980,764	989,084		
Alaska	108,465	109,351	101,288		
Arizona	1,188,245	1,167,332	1,169,487		
Arkansas	659,765	641,144	645,113		
California	6,211,811	5,946,057	5,861,335		
Colorado	644,790	623,823	583,110		
Connecticut	407,945	395,683	397,338		
Delaware	131,533	127,345	129,657		
District of Columbia	138,797	134,081	137,424		
Florida	3,467,902	3,459,559	3,528,827		
Georgia	1,942,399	1,946,478	1,938,867		
Hawaii	230,732	236,565	226,457		
Idaho	266,584	255,479	245,197		
Illinois	1,984,646	1,858,751	1,890,504		
Indiana	1,100,246	1,026,992	1,019,969		
Iowa	404,389	358,963	368,322		
Kansas	437,158	408,291	401,616		
Kentucky	936,148	870,583	924,158		
Louisiana	1,100,377	1,027,916	1,049,187		
Maine	207,642	212,627	209,145		
Maryland	724,746	738,713	743,788		
Massachusetts	817,677	811,929	819,053		
Michigan	1,685,239	1,569,997	1,549,020		
Minnesota	579,153	516,567	525,915		
Mississippi	753,118	741,569	755,076		
Missouri	1,028,223	1,020,217	984,957		
Montana	157,294	147,526	156,074		
Nebraska	244,008	212,879	213,424		
Nevada	466,127	451,237	461,371		
New Hampshire	116,815	115,856	114,717		
New Jersey	1,030,377	999,329	1,037,081		
New Mexico	470,120	461,364	482,201		
New York	3,491,104	3,503,492	3,362,878		
North Carolina	1,738,671	1,746,610	1,791,495		
North Dakota	69,847	65,928	63,843		
Ohio	1,912,953	1,856,440	1,719,154		
Oklahoma	744,953	727,099	739,916		
Oregon	626,056	631,250	653,896		
Pennsylvania	1,820,195	1,731,290	1,757,471		
Rhode Island	163,227	156,799	154,531		
South Carolina	1,001,814	947,417	976,796		
South Dakota	120,896	114,250	115,027		
Tennessee	1,299,149	1,295,613	1,333,003		
Texas	4,960,310	4,854,349	4,749,725		
Utah	354,632	327,068	307,786		
Vermont	75,348	74,696	77,214		
Virginia	1,116,259	1,117,501	1,121,872		
Washington	863,408	837,550	854,366		
West Virginia	400,512	392,801	406,661		
Wisconsin	715,455	698,603	701,542		
Wyoming	58,608	54,360	65,488		

Table A.24. Final shrinkage estimates of number of working poor eligible for SNAP

	Final shrinkage estima	tes of number of working p	ooor eligible for SNAP
	2011	2012	2013
Alabama	389,292	384,452	413,457
Alaska	56,401	55,168	49,353
Arizona	598,388	596,053	629,145
Arkansas	291,430	285,044	276,110
California	3,300,924	3,235,417	3,232,728
Colorado	331,994	302,173	293,043
Connecticut	150,952	163,248	175,965
Delaware	58,026	56,793	59,857
District of Columbia	38,820	38,134	47,338
Florida	1,391,705	1,674,525	1,674,364
Georgia	978,360	907,841	902,602
Hawaii	130,039	130,119	126,564
Idaho	153,493	144,626	146,747
Illinois	942,978	833,067	895,054
Indiana	477,438	453,477	460,814
lowa	199,107	179,133	210,132
Kansas	238,410	215,986	220,411
Kentucky	375,398	363,467	429,922
Louisiana	491,493	434,267	459,889
Maine	85,788	82,534	80,384
Maryland	300,836	327,301	330,996
Massachusetts	298,393	253,602	275,318
Michigan	758,432	651,516	641,378
Minnesota	249,948	248,575	259,846
Mississippi	309,971	295,795	299,989
Missouri	448,234	513,752	441,708
Montana	72,991	65,527	76,626
Nebraska	130,492	120,133	118,189
Nevada	221,410	221,996	238,347
New Hampshire	45,503	47,028	46,762
New Jersey	427,510	413,669	462,246
New Mexico	240,639	219,738	229,999
New York	1,508,462	1,625,877	1,487,611
North Carolina	733,302	795,109	917,889
North Dakota	34,876	28,535	28,988
Ohio	793,025	781,477	722,724
Oklahoma	379,998	353,778	351,568
Oregon	302,813	291,852	252,336
Pennsylvania	739,578	586,911	666,771
Rhode Island	67,336	58,018	56,608
South Carolina	410,866	369,252	389,320
South Dakota	61,312	57,188	60,253
Tennessee	570,887	608,364	545,812
Texas	2,709,864	2,685,643	2,663,445
Utah	214,627	190,402	173,240
Vermont	28,531	32,770	36,256
Virginia	511,100	512,814	486,882
Washington	414,448	384,682	345,871
West Virginia	153,003	134,403	131,432
Wisconsin	337,028	331,811	364,404
Wyoming	29,985	26,688	29,345

Table A.25. Standard errors of final shrinkage estimates of number of people eligible for SNAP

	Standard errors of es	Standard errors of estimates of number of people eligible for SNAP				
	2011	2012	2013			
Alabama	33,220	33,468	30,088			
Alaska	5,170	5,198	3,883			
Arizona	42,544	40,349	43,953			
Arkansas	24,351	24,555	24,245			
California	133,553	139,887	134,937			
Colorado	25,731	23,665	20,574			
Connecticut	14,520	13,495	12,675			
Delaware	5,271	4,426	4,709			
District of Columbia	6,921	6,220	6,363			
Florida	93,287	86,415	87,523			
Georgia	53,961	53,638	49,862			
Hawaii	10,884	10,509	9,596			
Idaho	10,350	9,851	9,539			
Illinois	56,736	51,824	49,206			
Indiana	41,069	33,530	32,897			
Iowa	14,620	12,430	11,467			
Kansas	17,375	14,901	13,118			
Kentucky	32,533	27,344	30,143			
Louisiana	37,455	34,635	34,080			
Maine	7,520	7,354	7,385			
Maryland	24,785	25,437	23,320			
Massachusetts	29,355	28,250	27,899			
Michigan	49,887	47,789	47,639			
Minnesota	22,142	17,616	17,063			
Mississippi	30,545	28,012	26,133			
Missouri	36,634	33,935	31,412			
Montana	7,050	6,829	7,064			
Nebraska	11,528	9,117	9,012			
Nevada	25,337	21,591	21,146			
New Hampshire	4,771	4,341	4,157			
New Jersey	39,663	37,531	35,316			
New Mexico	20,447	19,943	23,847			
New York	95,719	90,511	81,551			
North Carolina	60,750	58,432	54,643			
North Dakota	3,434	3,091	2,698			
Ohio	62,304	59,557	54,501			
Oklahoma	27,412	25,184	25,195			
Oregon	19,341	18,301	17,067			
Pennsylvania	54,688	49,668	51,959			
Rhode Island	5,669	5,245	4,825			
South Carolina	28,607	28,024	29,080			
South Dakota	5,771	5,236	5,194			
Tennessee	43,519	41,363	41,255			
Texas	126,684	136,934	115,184			
Utah	13,650	12,330	12,101			
Vermont	2,939	2,613	2,693			
Virginia	43,259	42,829	40,625			
Washington	28,172	26,289	24,124			
West Virginia	15,108	16,710	16,227			
Wisconsin	23,603	21,618	20,091			
Wyoming	3,066	3,699	3,505			

Table A.26. Standard errors of final shrinkage estimates of number of working poor eligible for SNAP

	Standard errors of estim	ates of number of working	poor eligible for SNAP
	2011	2012	2013
Alabama	23,803	23,371	22,878
Alaska	4,472	4,299	2,967
Arizona	38,095	36,809	41,112
Arkansas	18,221	15,882	16,259
California	180,073	163,978	156,059
Colorado	21,202	18,101	16,376
Connecticut	9,576	9,664	9,734
Delaware	3,917	3,443	4,020
District of Columbia	5,762	4,732	5,992
Florida	75,326	82,935	84,802
Georgia	51,179	44,715	43,155
Hawaii	9,765	9,267	8,900
Idaho	9,000	8,057	8,114
Illinois	47,371	40,123	41,460
Indiana	28,272	23,861	23,867
Iowa	10,657	9,635	10,853
Kansas	12,952	10,479	10,716
Kentucky	22,979	19,911	24,981
Louisiana	30,432	24,303	23,963
Maine	5,807	5,228	5,269
Maryland	18,189	19,792	18,020
Massachusetts	22,002	16,968	17,195
Michigan	41,893	35,453	34,817
Minnesota	15,570	14,023	14,340
Mississippi	21,395	18,064	19,546
Missouri	25,816	28,837	23,653
Montana	4,715	4,476	4,847
Nebraska	8,630	7,378	7,291
Nevada	21,381	19,145	20,110
New Hampshire	3,304	3,175	2,979
New Jersey	30,642	27,252	28,627
New Mexico	18,436	15,592	15,985
New York	93,520	92,973	76,004
North Carolina	40,124	41,140	49,852
North Dakota	2,662	2,154	1,961
Ohio	43,941	42,285	38,766
Oklahoma	25,096	20,899	20,051
Oregon	20,262	18,923	14,859
Pennsylvania	41,947	30,768	35,878
Rhode Island	4,595	3,711	3,367
South Carolina	22,715	19,478	20,596
South Dakota	4,175	3,678	3,760
Tennessee	34,659	35,197	29,869
Texas	118,589	127,117	109,605
Utah	13,362	11,488	11,157
Vermont	2,057	2,066	2,203
Virginia	31,747	31,749	27,159
Washington	25,677	22,080	18,406
West Virginia	9,559	9,199	8,688
Wisconsin	19,713	18,743	19,714
Wyoming	2,195	2,268	2,527

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